

## Resolution 1.2

### FINANCIAL AND BUDGETARY MATTERS

#### The Conference of the Parties to the Convention on the Conservation of Migratory Species of Wild Animals,

Recalling article VII, paragraph 4, of the Convention which states that the Conference of the Parties shall:

"Establish and keep under review the financial regulations of this Convention, at each of its ordinary meetings, adopt the budget for the next financial period and agree a scale of contributions",

Noting with appreciation Decision 12/14, section IV, adopted by the Governing Council of the United Nations Environment Programme (UNEP) at its twelfth session in 1984,

Recognizing that the Parties to the Convention shall bear responsibility for the financing of the administration of the Convention and that, in accordance with the above-mentioned decision, the initial contribution of UNEP will cease by the end of 1987,

Acknowledging with appreciation the services of the provisional secretariat provided by UNEP and the support given by the Depositary Government with regard to the establishment of that provisional secretariat,

Noting the considerable number of Parties as well as organizations attending the meeting of the Conference of Parties as observers, and the resulting additional expenditure to be incurred,

1. Approves the budget for 1986-1988 attached as annex 1;
2. Agrees the scale of assessments for the contributions of Parties to the Convention is listed in annex 2 to this resolution;
3. Urges all Parties to pay their contributions in accordance with the above-mentioned scale of assessments at the beginning of the year to which they relate;
4. Invites States not parties to the Convention, and governmental, intergovernmental and non-governmental organizations and other sources to consider contributing to the Trust Fund referred to below;
5. Decides that the standard participation fee for all non-governmental organizations shall be fixed at \$US 100 (except as otherwise reduced by the Standing Committee as required) and urges such organizations to make a greater contribution if possible;
6. Requests the Executive Director of UNEP, with the approval of the Governing Council of UNEP, to seek the consent of the Secretary-General of the United Nations to the establishment of a Trust Fund for an initial period of three years;
7. Approves the terms of reference for the administration of the Trust Fund as set out in annex 3 to this resolution for the period 1986-1988.

## Annex 1

### BUDGET ESTIMATES

	w/m	<u>Environment Fund</u>		w/m	<u>Trust Fund</u>		w/m	1988
		1986	w/m 1987		1987	w/m 1988		
<b>10 PROJECT PERSONNEL COMPONENT</b>								
1100	Project personnel							
1101	12	60 000	12	61 000	12			62 000
1102		-		-	6	21 000	12	43 000
1199		60 000		61 000		21 000		105 000
1200	Consultants (fee, travel, DSA)							
1201		9 000		-		20 000		20 000
1299		9 000		-		20 000		20 000
1300	<u>Administrative support</u>							
1301	12	14 500	12	15 000		-	12	15 500
1302		-		-	12	15 500	12	16 000
1303		-		-	6	10 000	10	20 000
1322		Temporary assistance/ overtime	6	8 500		-		3 000
1399		23 000		15 000		25 500		54 500
1600		<u>Travel on official business</u>		5 000		2 500		12 500
1999		97 000		81 000		69 000		192 000
<b>30 TRAINING COMPONENT</b>								
3200	<u>Group training, meetings</u>							
3201		-		-		15 000		15 000
3202		-		-		20 000		25 000
3203		-		-		-		100 000
3999		-		-		35 000		140 000
<b>40 EQUIPMENT COMPONENT</b>								
4100		2 500		2 500		2 500 <sup>1</sup>		5 000
4200		-		-		10 000		5 500
4999		2 500		2 500		12 500		7 500
<b>MISCELLANEOUS COMPONENT</b>								
5100	<u>Operation and Maintenance of equipment</u>							
5101		3 500		3 500		-		1 000
5102		1 200		1 200		-		500
5103		3 300		3 300		-		3 500
5199		8 000		8 000		-		5 000

---

<sup>1</sup> For the purchase of a word processing work station and a photocopier.

5200	<u>Reporting costs</u> <sup>2</sup>	15 000	15 000	-	15 000
5300	<u>Sundry</u>				
5301	Communications (telex, telephone, postage, pouch charges)	3 600	3 600	-	3 600
5302	Other	2 400	2 400	-	2 400
5399	Total	6 000	6 000	-	6 000
5999	COMPONENT TOTAL	29 000	29 000	-	26 000
	GRAND TOTAL	128 500	112 500	116 500	365 500

---

<sup>2</sup> Proceedings, Conference of the Parties' documents, other reports and information publications including their translation, printing and distribution.

## Annex 2

SCALE OF CONTRIBUTIONS TO THE TRUST FUND FOR 1986-1988<sup>1</sup>

Parties	A %	B %	C USD	D USD
Cameroon	00.01	0.047	222.00	100.00
Chile	00.07	0.336	1 601.00	534.00
Denmark	00.75	3.490	16 825.00	5 606.00
Egypt	00.07	0.326	1 601.00	534.00
Germany, Federal Republic of	08.54	25.000	120 525.00	40 162.00
Hungary	00.23	1.071	5 149.00	1 715.00
India	00.36	1.677	8 080.00	2 692.00
Ireland	00.18	0.838	4 040.00	1 347.00
Israel	00.23	1.071	5 149.00	1 715.00
Italy	03.74	17.422	84 000.00	27 991.00
Luxembourg	00.06	0.280	1 330.00	443.00
Netherlands	01.78	8.292	40 005.00	13 331.00
Niger	00.01	0.047	222.00	100.00
Norway	00.51	2.376	11 455.00	3 817.00
Portugal	00.18	0.838	4 040.00	1 347.00
Spain	01.93	8.991	43 331.00	14 439.00
Sweden	01.32	6.149	29 659.00	9 883.00
United Kingdom	4.67	21.755	104 866.00	34 944.00
European Economic Community <sup>2</sup>	-	-	-	-
<b>TOTAL</b>	<b>24.64</b>	<b>100.00</b>	<b>482 100.00</b>	<b>160 700.00</b>

Column A: United Nations scale of assessment (ST/ADM/SER.B/275 dated 21 December 1984)

<sup>1</sup> To be adjusted annually to take account of the ratification/accession of new Parties, and in the light of changes in the United Nations scale of assessment.

<sup>2</sup> EEC is not a member of the United Nations, and is therefore not assessed. It is not included in the contribution estimates. EEC has expressed its intention to contribute a specific lump sum to the Trust Fund, which consequently will be deducted from the total amount before applying the percentage rates.

- Column B.: Percentage rates based on United Nations scale of assessment, adjusted to the present number of Parties to the Convention, and taking into account the requirement that no individual contribution should exceed 25 per cent of the total
- Column C: Shares of Parties in total 1986-1988 budget estimate
- Column D: Annual contributions for each of the three years 1986-1988 taking into account the requirement that no Party should contribute less than US dollars 100.

Annex 3

TERMS OF REFERENCE FOR THE ADMINISTRATION  
OF THE TRUST FUND FOR  
THE CONVENTION ON THE CONSERVATION OF MIGRATORY SPECIES OF WILD  
ANIMALS

1. A Trust Fund for the Convention on the Conservation of Migratory Species of Wild Animals (hereinafter referred to as the Trust Fund) shall be established for an initial period of three years to provide financial support for the aim of the Convention.
2. The financial period shall be for three calendar years beginning 1 January 1986, and ending 31 December 1988.
3. The administration of the Trust Fund shall be entrusted to the Secretary-General of the United Nations and, should he deem it necessary, to the Executive Director of the United Nations Environment Programme (UNEP).
4. The establishment and administration of the Trust Fund shall be governed by the Financial Regulations and Rules of the United Nations, the Staff Regulations and Rules of the United Nations, and other administrative policies or procedures, promulgated by the Secretary-General of the United Nations. It is understood that these Rules provide that all expenditures from the Trust Fund shall incur a deduction according to the standard percentage factor approved for UNDP<sup>1</sup> to finance the administrative costs of the Trust Fund.
5. In the event that the Parties wish the Trust Fund to be extended beyond 31 December 1988, the Executive Director of UNEP shall be so advised in writing immediately after the second meeting of the Conference of the Parties. It is understood that such extension of the Trust Fund shall be decided at the discretion of the Secretary-General of the United Nations.
6. The financial resources of the Trust Fund for 1986-1988 shall be derived from:
  - (a) The contributions made by the Parties by reference to Annex 2 including contributions from any new Parties which are to be added to Annex 2.
  - (b) Contributions from States not parties to the Convention, other governmental, intergovernmental and non-governmental organizations and other sources.
7. All contributions to the Trust Fund shall be paid in fully convertible United States dollars. Contributions from States that become Parties after the beginning of the financial period shall be made on a pro-rata basis for the balance of the financial period. Contributions shall be paid in annual instalments. The contributions shall be due on 1 January 1986, 1987 and 1988. Contributions shall be paid into the following account:

Account No. 015-002756  
UNEP General Trust Funds Account for the Trust Fund for  
the Convention on the Conservation of Migratory Species of Wild Animals,  
Chemical Bank, United Nations Branch  
New York, N.Y. 10017, USA

---

<sup>1</sup> See UN Secretary-General's Bulletin ST/SGB/146/Rev.1 "Establishment and management of Trust Funds".

8. For the convenience of the Parties, for each of the years of the financial period the Executive Director of UNEP shall as soon as possible notify the Parties to the Convention of their assessed contributions.

9. Contributions received into the Trust Fund that are not immediately required to finance activities shall be invested at the discretion of the United Nations, and any income shall be credited to the Trust Fund.

10. The Trust Fund shall be subject to audit by the United Nations Internal Audit Service.

11. The budget estimates covering the income and expenditure for each of the three calendar years constituting the financial period to which they relate, prepared in US dollars, shall be submitted to the ordinary meeting of the Conference of the Parties to the Convention.

12. The estimates of each of the calendar years covered by the financial period shall be divided into sections and objects of expenditures, shall be specified according to budget lines, shall include references to the programmes of work to which they relate, and shall be accompanied by such information as may be required by or on behalf of the contributors, and such further information as the Executive Director of UNEP may deem useful and advisable. In particular, estimates shall also be prepared for each programme of work for each of the calendar years, with expenditure itemized for each programme so as to correspond to the sections, objects of expenditure, and budget lines described in the first sentence of this paragraph. The sum of the programme estimates shall equal the sum of the estimates described in the first sentence of this paragraph.

13. In addition to the budget estimates for the financial period described in the preceding paragraphs, the Secretary-General of the Convention, in consultation with the Standing Committee and the Executive Director of UNEP, shall prepare a medium term plan as envisaged in Chapter III of Legislative and Financial Texts Regarding the United Nations Environment Programme and the Environment Fund. The medium term plan will cover the years 1986 to 1991, inclusive, and will incorporate the budget for the 1986 to 1988 financial period.

14. The proposed budget and medium term plan, including all the necessary information, shall be dispatched by the secretariat to all Parties at least ninety days before the date fixed for the opening of the ordinary meeting of the Conference of the Parties.

15. The budget and medium term plan shall be adopted by unanimous vote of the Parties present and voting at the ordinary meeting.

16. In the event that the Executive Director of UNEP anticipates that there might be a shortfall in resources over the financial period as a whole, he shall consult with the Secretary-General, who shall seek the advice of the Standing Committee as to its priorities for expenditure.

17. Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention. No commitments shall be made in advance of the receipt of contributions.

18. Upon the request of the Secretary-General of the Convention, after seeking the advice of the Standing Committee, the Executive Director of UNEP should, to the extent consistent with the Financial Regulations and Rules of the United Nations, make transfers from one budget line to another. At the end of the first or second calendar year of a financial period, the Executive Director of UNEP may proceed to transfer any uncommitted balance of appropriations to the second or third calendar year respectively, provided that the total budget approved by the Parties shall not be exceeded unless this is specifically

sanctioned in writing by the Standing Committee.

19. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable the audited accounts for the financial period.

20. Those financial reports required to be submitted to the Executive Director of UNEP shall be transmitted simultaneously by the Secretary-General of the Convention to the members of the Standing Committee.

21. The Secretary-General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming quarter simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraphs.

22. These Terms of Reference shall be effective from the date of the establishment of the Fund which date shall be notified to all Parties.