

Resolution 3.6

FINANCIAL AND BUDGETARY MATTERS

The Conference of the Parties to the Convention on the Conservation of Migratory Species of Wild Animals,

Recalling Article VII, paragraph 4, of the Convention which states:

"The Conference of the Parties shall establish and keep under review the financial regulations of this Convention. The Conference of the Parties shall, at each of its ordinary meetings, adopt the budget for the next financial period. Each Party shall contribute to this budget according to a scale to be agreed upon by the Conference",

Recognizing the importance of all Parties being able to participate in the implementation of the Convention and related activities;

Aware that many Parties, particularly developing countries, may not have the financial means to send representatives to meetings of bodies established under the Convention;

Recognizing that solicitation of external sources of funding, by the Secretariat on behalf of developing country representatives, is not a long-term solution to the problem, given the Secretariat's limited resources;

Noting the considerable number of Parties as well as organizations attending the meeting of the Conference of Parties as observers, and the resulting additional expenditure to Parties so incurred;

1. Confirms that all Parties shall contribute to the budget adopted at the scale agreed upon by the Conference of the Parties in accordance with Article VII, paragraph 4, of the Convention;
2. Adopts the budget for 1992-1994 attached as annex 1 to this resolution;
3. Agrees to the scale of contributions of Parties to the Convention as listed in annex 2 to this resolution and to the application of that scale pro rata to new Parties;
4. Requests all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or in any case promptly by the beginning of the respective calendar year to which the contributions apply;
5. Takes note of the medium-term plan for 1992-1994 attached as annex 3 to this resolution;
6. Instructs the Secretariat to commission as a priority a study of the most effective means of ensuring and funding the participation of developing countries in and implementation of the Convention;
7. Decides that the results of that study should be submitted to the Standing Committee at the earliest opportunity and that the Standing Committee should decide upon its recommendations thereon;
8. Determines that the Standing Committee may allocate resources from budget line 2201, Contracts with supporting organizations, to fund proposals arising from the study and may request UNEP to transfer resources to budget line 3204, Developing country participants at meetings, to provide travel funds;

9. Urges all Parties to make voluntary contributions to the Trust Fund to support requests from developing countries to participate in and implement the convention throughout the triennium;

Annex 1

BUDGET ADOPTED FOR 1992-1994

(Budget lines correspond to standard UNEP budget codes)

		<u>Estimated cost in United States dollars</u>					
PERSONNEL COMPONENT		<u>1992</u>		<u>1993</u>		<u>1994</u>	
	w/m			w/m		w/m	
1100	<u>Project personnel</u>						
	1101 Co-ordinator (P-5)	12	110 000	12	115 000	12	125 000
	1102 Programme Officer (P-3)	6	85 000	12	90 000	12	90 000
1199	Total		<u>195 000</u>		<u>205 000</u>		<u>215 000</u>
1200	<u>Consultants</u>		55 000		60 000		30 000
1299	Total		<u>55 000</u>		<u>60 000</u>		<u>30 000</u>
1300	<u>Administrative support</u>						
	1301 Administrative assistant (G-5)	12	40 000	12	42 000	12	44 000
	1302 Secretary (G-3)		30 000	6	32 000	12	34 000
	1321 Temporary assistance/ overtime		7 000		7 500		8 000
	1322 Temporary assistance/ Conference						120 000
1399	Total		<u>77 000</u>		<u>81 500</u>		<u>206 000</u>
1600	<u>Travel on official business</u>						
	1601 General		27 500		30 000		25 000
	1602 Conference						15 000
1699	Total		<u>27 500</u>		<u>30 000</u>		<u>40 000</u>
1999	COMPONENT TOTAL		<u>354 500</u>		<u>376 500</u>		<u>491 000</u>
SUB-CONTRACT COMPONENT							
2100	<u>Sub-contracts</u>						
	2101 Contracts with supporting organisations ¹		64 000		70 000		78 000
	2199 Total		<u>64 000</u>		<u>70 000</u>		<u>78 000</u>
2999	COMPONENT TOTAL		<u>64 000</u>		<u>70 000</u>		<u>78 000</u>
MEETINGS COMPONENT							

¹ Support for developing countries, including travel, to be determined by the Standing Committee.

3200	<u>Meetings</u>			
3201	Scientific Council	5 000	6 000	27 000
3202	Working groups (up to 3 per annum)	25 000	26 000	19 000
3203	Standing Committee	15 000	16 000	17 000
3999	COMPONENT TOTAL	<u>45 000</u>	<u>48 000</u>	<u>63 000</u>
EQUIPMENT COMPONENT				
4100	Expendable equipment	3 000	3 500	4 000
4200	Non-expendable equipment	4 000	4 500	5 000
4300	Premises rental ²	5 000	-	-
4999	COMPONENT TOTAL	<u>12 000</u>	<u>8 000</u>	<u>9 000</u>
MISCELLANEOUS COMPONENT				
5100	<u>Operation and Maintenance</u>			
5101	Computer	2 500	2 600	2 700
5102	Photocopier	2 000	2 500	3 000
5103	Other equipment	1 000	1 000	1 000
5104	Premises ²	-	-	-
5199	Total	<u>5 500</u>	<u>6 100</u>	<u>6 700</u>
5200	<u>Reporting costs</u>			
5201	Documents	3 000	4 000	6 000
5299	Total	<u>3 000</u>	<u>4 000</u>	<u>6 000</u>
5300	<u>Sundry</u>			
5301	Communications	25 000	27 000	30 000
5302	Other	1 000	1 000	2 000
5399	Total	<u>26 000</u>	<u>28 000</u>	<u>32 000</u>
5999	COMPONENT TOTAL	<u>34 500</u>	<u>38 100</u>	<u>44 700</u>
TOTAL ALLOCATIONS FOR SECRETARIAT USE		510 000	540 600	685 700
6000	<u>UNEP costs</u>	<u>66 300</u>	<u>70 278</u>	<u>89 141</u>
GRAND TOTAL		<u>576 300</u>	<u>610 878</u>	<u>774 841</u>
<u>Grand total for triennium: 1992-1994:</u>		<u>1 962 019</u>		

² Paid by the Government of the Federal Republic of Germany only if the Secretariat remains in the Federal Republic of Germany.

Annex 2

SCALE OF CONTRIBUTIONS TO THE TRUST FUND¹

ANNUAL CONTRIBUTION (US\$)

PARTY	UN SCALE (%)	1992	1993	1994
Argentina	0.66	9 893	10 498	13 367
Australia	1.57	23 516	24 955	31 776
Belgium	1.17	17 524	18 596	23 679
Benin	0.01	170	180	229
Burkina Faso	0.01	170	180	229
Cameroon	0.01	170	180	229
Chile	0.08	1 187	1 260	1 604
Denmark	0.69	10 345	10 978	13 978
Egypt	0.07	1 017	1 080	1 375
Finland	0.51	7 631	8 098	10 312
France	6.25	93 727	99 460	126 645
Germany	9.36	140 364	148 950	189 662
Ghana	0.01	170	180	229
Hungary	0.21	3 166	3 359	4 278
India	0.37	5 540	5 879	7 486
Ireland	0.18	2 713	2 879	3 666
Israel	0.21	3 166	3 359	4 278
Italy	3.99	59 809	63 467	80 815
Luxembourg	0.06	904	960	1 222
Mali	0.01	170	180	229
Netherlands	1.65	24 703	26 215	33 380
Niger	0.01	170	180	229
Nigeria	0.20	2 996	3 179	4 048
Norway	0.55	8 253	8 758	11 152
Pakistan	0.06	904	960	1 222
Panama	0.02	283	300	382
Portugal	0.18	2 713	2 879	3 666
Saudi Arabia	1.02	15 263	16 197	20 624
Senegal	0.01	170	180	229
Somalia	0.01	170	180	229
South Africa	0.45	6 727	7 138	9 090
Spain	1.95	29 226	31 014	39 491
Sri Lanka	0.01	170	180	229
Sweden	1.21	18 146	19 256	24 519
Tunisia	0.03	452	480	611
United Kingdom	4.86	72 867	77 324	98 459
Uruguay	0.04	565	600	764
Zaire	0.01	170	180	229
EEC ²	---	11 000	11 000	11 000

¹ *Note from the Secretariat:* the scale of contributions was revised after the meeting of the Conference of the Parties, taking into account the accessions of Argentina and South Africa before the beginning of the financial period (1 January 1992).

² Voluntary contribution fixed by the EEC.

TOTAL	37.70	576 300	610 878	774 841
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Annex 3

MEDIUM-TERM PLAN 1992-1997
(in United States dollars)

Budget line	<u>1992</u>	<u>1993</u>	<u>1994</u>	<u>1995</u>	<u>1996</u>	<u>1997</u>
1100 Project personnel	195 000	205 000	215 000	210 000	220 000	230 000
1200 Consultants	55 000	60 000	30 000	65 000	70 000	40 000
1300 Administrative support	77 000	81 500	156 000	85 000	90 000	170 000
1600 Travel on official business	27 500	30 000	40 000	35 000	40 000	50 000
2100 Sub-contracts	64 000	70 000	78 000	88 000	100 000	120 000
3200 Meetings	45 000	48 000	63 000	58 000	68 000	93 000
4000 Equipment (Stationery, machines, premises)	12 000	8 000	9 000	10 000	11 000	12 000
5100 Operation and maintenance (premises, machines)	5 500	6 100	6 700	7 500	8 200	9 000
5200 Reporting costs	3 000	4 000	6 000	6 000	7 000	9 000
5300 Sundry (freight and communications)	26 000	28 000	32 000	31 000	33 000	37 000
6000 UNEP admin. costs	66 300	70 278	89 141	77 415	84 136	100 100
Total	<u>576 300</u>	<u>610 878</u>	<u>774 841</u>	<u>672 915</u>	<u>731 336</u>	<u>870 100</u>
Total for triennium:		1 962 019			2 274 351	

Annex 4

TERMS OF REFERENCE FOR THE ADMINISTRATION OF THE TRUST FUND FOR THE CONVENTION ON THE CONSERVATION OF MIGRATORY SPECIES OF WILD ANIMALS

1. The Trust Fund for the Convention on the Conservation of Migratory Species of Wild Animals (hereinafter referred to as the Trust Fund) shall be continued for a period of three years to provide financial support for the aims of the Convention.
2. The financial period shall be for three calendar years beginning 1 January 1992, and ending 31 December 1994.
3. The Trust Fund shall continue to be administered by the Executive Director of the United Nations Environment Programme (UNEP), subject to the approval of the Governing Council of UNEP and the consent of the Secretary-General of the United Nations.
4. The administration of the Trust Fund shall be governed by the Financial Regulations and Rules of the United Nations, the Staff Regulations and Rules of the United Nations, and other administrative policies or procedures, promulgated by the Secretary-General of the United Nations. It is understood that these Rules provide that all expenditures from the Trust Fund shall incur a deduction according to the standard percentage factor approved for the United Nations Development Programme to finance the administrative costs of the Trust Fund.¹
5. In the event that the Parties wish the Trust Fund to be extended beyond 31 December 1994 the Executive Director of UNEP shall be so advised in writing immediately after the fourth meeting of the Conference of the Parties. It is understood that such extension of the Trust Fund shall be decided at the discretion of the Secretary-General of the United Nations.
6. The financial resources of the Trust Fund for 1992-1994 shall be derived from:
 - a) The contributions made by the Parties by reference to annex II, including contributions from any new Parties;
 - b) Further contributions from Parties and contributions from States not parties to the Convention, other governmental, intergovernmental and non-governmental organizations and other sources.
7. All contributions to the Trust Fund shall be paid in fully convertible United States dollars. For contributions from States that become Parties after the beginning of the financial period, the initial contribution (from the first day of the third month after deposit of the instrument of ratification, acceptance or accession till the end of the financial period) shall be determined pro-rata based on the contribution of other States Parties on the same level on the U.N. scale of assessment, as it applies from time to time. However if the contribution of a new Party determined on this basis would be more than 25 per cent of the budget for the financial year of joining (or pro rata for a part-year). The scale of contributions for all Parties shall then be revised by the Secretariat on 1 January of the next year. Contributions shall be paid in annual installments. The contributions shall be due on 1 January 1992, 1993 and 1994. Contributions shall be paid into the following account:

¹United Nations Secretary-General's Bulletin ST/SGB/146/Rev.1, "Establishment and management of trust funds".

Account No. 015-002756
UNEP Trust Funds Account for the Trust Fund
for the Convention on the Conservation of Migratory
Species of Wild Animals,
Chemical Bank, United Nations Branch
New York, N.Y. 10017, USA

8. For the convenience of the Parties, for each of the years of the financial period the Executive Director of UNEP shall as soon as possible notify the Parties to the Convention of their assessed contributions.
9. Contributions received into the Trust Fund that are not immediately required to finance activities shall be invested at the discretion of the United Nations, and any income shall be credited to the Trust Fund.
10. The Trust Fund shall be subject to audit by the United Nations Board of Auditors.
11. The budget estimates covering the income and expenditure for each of the three calendar years constituting the financial period to which they relate, prepared in US dollars, shall be submitted to the ordinary meeting of the Conference of the Parties to the Convention.
12. The estimates of each of the calendar years covered by the financial period shall be divided into sections and objects of expenditures, shall be specified according to budget lines, shall include references to the programmes of work to which they relate, and shall be accompanied by such information as may be required by or on behalf of the contributors, and such further information as the Executive Director of UNEP may deem useful and advisable. In particular estimates shall also be prepared for each programme of work for each of the calendar years, with expenditure itemized for each programme so as to correspond to the sections, objects of expenditure, and budget lines described in the first sentence of this paragraph.
13. In addition to the budget estimates for the financial period described in the preceding paragraphs, the Secretariat of the Convention, in consultation with the Standing Committee and the Executive Director of UNEP, shall prepare a medium-term plan as envisaged in Chapter III of Legislative and Financial Texts Regarding the United Nations Environment Programme and the Environment Fund. The medium-term plan will cover the years 1995 to 2000, inclusive, and shall incorporate the budget for the financial period 1995-1997.
14. The proposed budget and medium-term plan, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least ninety days before the date fixed for the opening of the ordinary meeting of the Conference of the Parties.
15. The budget and medium-term plan shall be adopted by unanimous vote of the Parties present and voting at the ordinary meeting.
16. In the event that the Executive Director of UNEP anticipates that there might be a shortfall in resources over the financial period as a whole, he shall consult with the Secretariat, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
17. Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention. No commitments shall be made in advance of the receipt of the contributions.
18. Upon the request of the Secretariat of the Convention, after seeking the advice of the Standing

Committee, the Executive Director of UNEP should, to the extent consistent with the Financial Regulations and Rules of the United Nations, make transfers from one budget line to another. At the end of the first or second calendar year of a financial period, the Executive Director of UNEP may proceed to transfer any uncommitted balance of appropriations to the second or third calendar year respectively, provided that the total budget approved by the Parties shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.

19. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.

20. Those financial reports required to be submitted to the Executive Director of UNEP shall be transmitted simultaneously by the Secretariat of the Convention to the members of the Standing Committee.

21. The Secretariat of the Convention shall provide the Standing Committee with an estimate of proposed expenditures over the coming quarter simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraphs.

22. The present terms of reference shall be effective from 1 January 1992 to 31 December 1994.