



CONVENTION ON MIGRATORY SPECIES

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Agenda Item 21

REPORT ON RESOURCE MOBILIZATION

*(Prepared by the Secretariat)
(as at August 2011)*

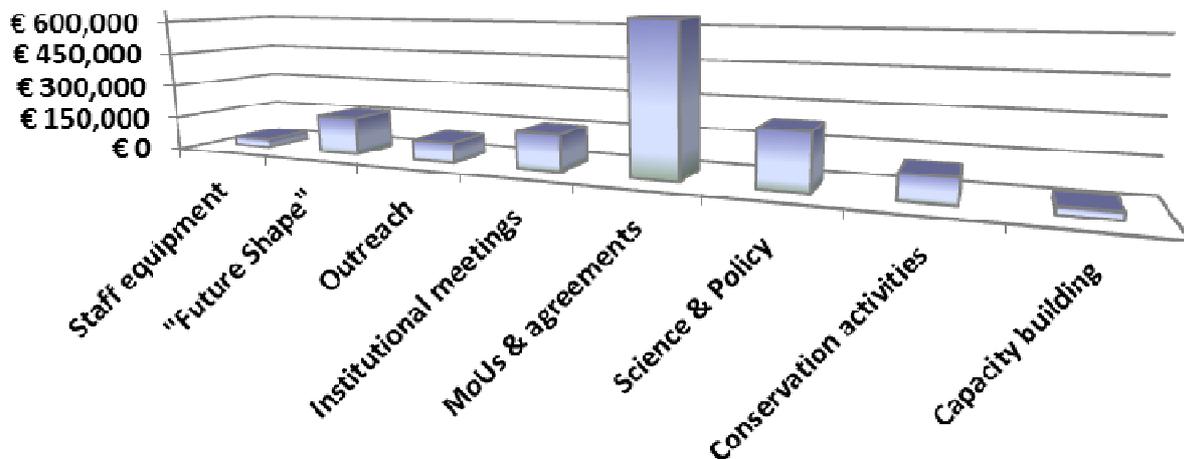
1. The Convention's work has been guided by Resolutions and Recommendations adopted by COPs and by the Strategic Plan 2006-2011 which set the general goals to ensure a coherent and targeted approach to implement the Convention at national, regional and global levels. Although many of these objectives are primarily to be achieved by the CMS Contracting Parties, some of them fall under the responsibility of the Secretariat. COP-approved triennial budgets are mainly destined for staff salaries to undertake specific limited activities and operational costs, while voluntary contributions are sought e.g. for specific conservation projects, organizing meetings and for publications, to mention but a few. Furthermore, the Secretariat provides basic administrative services to 17 out of the 26 instruments developed so far, and facilitates, to a limited extent, the necessary organizational procedures. As these instruments have no core operational funding, their implementation depends mainly on voluntary contributions supplemented to a very limited extent on the CMS core budget.

2. The Secretariat had undertaken to raise considerable resources that enabled a number of priority actions to be implemented and is grateful for the support received, especially given the current economic circumstances. The following paragraphs provide an overview of the financial and in-kind contributions received since 1 January 2009 as well as their sources, while a full report of the activities undertaken thanks to these resources is included in UNEP/CMS/Conf.10.21 "Contribution of the CMS Secretariat to the Implementation of the Strategic Plan 2006-2011 (Triennium 2008-2011)".

Funds received

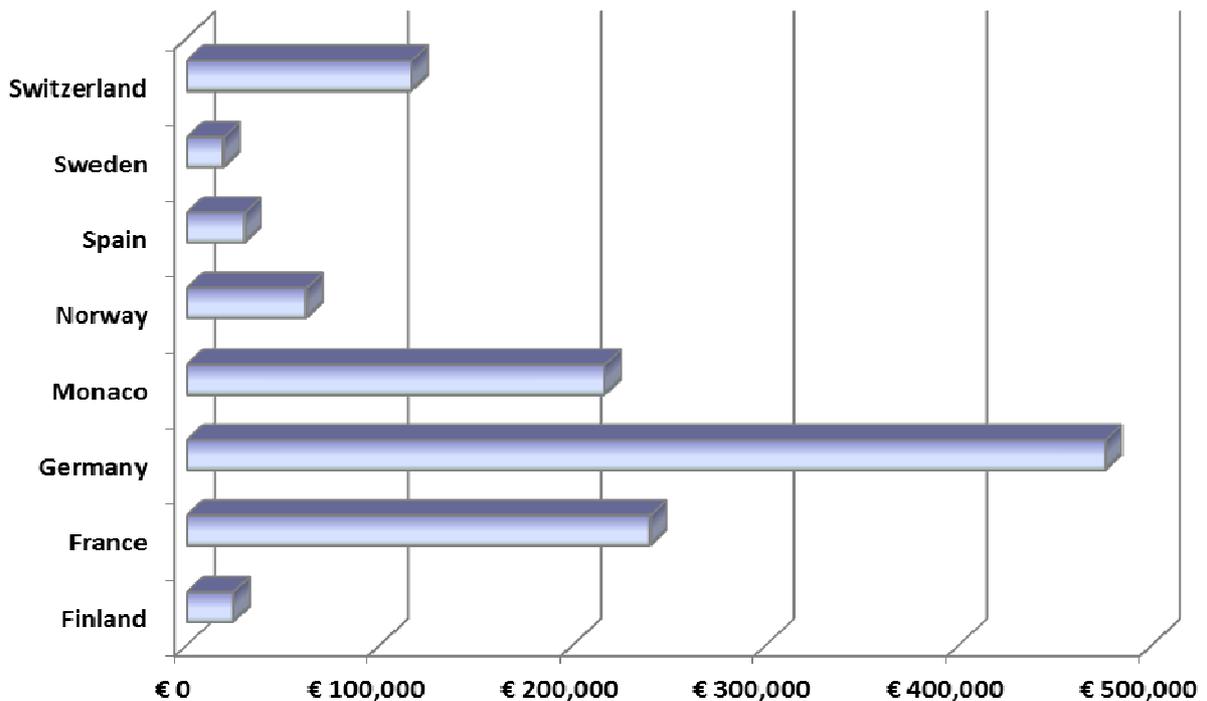
3. The total of voluntary contributions received from Governments, the private sector and other organizations to implement the Convention amounts to €1,464,900 (13% UN-PSC to be deducted).

4. Total funds were earmarked as indicated in the following graph.



Governments

5. As shown in the graph below, the main state donors since COP9 have been Germany, France, Monaco, Switzerland, Norway, Spain, Finland and Sweden. While the Secretariat heavily and regularly relies on the financial aid of European Parties for any small or medium-scale initiatives, the largest one-off contribution was paid by a country which is not a Party to the Convention – although it is a Signatory to two Memoranda of Understanding (MoUs). The Government of the United Arab Emirates in fact provided a grant of US\$3.6 million, which is not included in the above-mentioned figure, towards the operation of the office coordinating the Raptors and Dugongs MoUs in Abu Dhabi for the triennium 2009-2011.



UNEP and its MEAs

6. UNEP has been instrumental in assisting the Secretariat with the organization of meetings, coordination of instruments and publication of technical papers with an overall contribution of €236,961. The CBD Secretariat co-sponsored the production of the 2010 and 2011 desk diaries with the total provision of €10,000.

Private sector

7. Attention has also been given to the private sector. In order to strengthen the long-standing cooperation with the NGO “Friends of CMS” which supports the Secretariat in seeking companies interested in sponsoring the implementation of small- and medium-scale conservation projects, a Memorandum of Cooperation has been signed in 2010. The Secretariat provides project proposals for the consideration of potential donors. “Friends of CMS” has recently granted €24,000 towards the implementation of the project “Harbour porpoise alerting device to enhance awareness towards fishing gear: prototype and field tests” which provides an important contribution to the conservation of small cetaceans of the Baltic and North Seas under the relevant CMS Agreement (ASCOBANS). Two further projects are currently being considered. “Friends of CMS” is also undertaking to raise funds for conservation through the sale of a 2012 calendar.

8. RWE Rhein-Ruhr Netzservice GmbH (German Power Company) has undertaken to pay €120,000 to AEWA towards an independent review and the development of guidelines for mitigating and avoiding the conflict between migratory birds and electricity power grids in the AEWA region. The result of this initiative contributes to the work of CMS, AEWA and the CMS MoU on Birds of Prey. Furthermore, Lufthansa has confirmed the €10,000 grant to support the Thesis Award competition.

Indirect financial contributions and in-kind contributions

9. Further contributions were provided since 2009 either in the form of financial support, which was not channelled through the Secretariat but did directly feed into activities, or of in-kind support through provision of personnel or services.

Personnel

10. The Government of Germany offered a Junior Professional Officer (JPO)¹ starting from October 2010 until 2012 to cover the position of Regional Officer for Central Asia. An additional German JPO has been recently confirmed for dealing with Information Management issues, only for 1 year starting from September 2011. An additional position (P-2 Officer) to be filled in due course has been confirmed to be funded by Germany for three years. The Government of Finland is also sponsoring a JPO for the position of Associate Capacity Building Officer for Africa who assumed office on 1 August 2011 until 2013.

¹ JPO positions are sponsored by respective Governments and consists of one year fixed term contracts at the UN P2 level which are normally renewed for a second year (two years being the usual length of assignment), subject to satisfactory performance. In exceptional circumstances, several donors sponsor assignments of up to four years. For instance, some Governments offer the possibility of extension for the third year on a cost sharing (50%) basis with the institution. In the case of Germany a third year could be fully granted if the institution covers its full costs for a fourth year.

11. The Government of France promoted cooperation between CITES and CMS by providing a consultant who assisted both Secretariats with the implementation of the agreed joint activities during the current triennium.

12. The CITES Secretariat made available a staff member experienced in document control to help the CMS Secretariat prepare for and service CoP10 on the basis of an agreement on reciprocal support to conference organization.

13. The UNEP Division of Environmental Law and Conventions (DELIC) has sponsored the representation of the CMS Family Secretariats in meetings organized by the Multilateral Environmental Agreements Information and Knowledge Management Initiative (MEA IKM) with the objective of harmonizing information systems among all MEAs.

14. UNEP/DELIC has also recently and generously agreed to fund the organization of a pre-COP10 Negotiation Workshop. This workshop is for representatives of Anglophone African Parties to CMS and is planned to take place in Uganda tentatively on 26-28 October 2011. Besides the availability of funds to cover participants' costs, UNEP/DELIC will undertake logistical and administrative work for the event.

15. In 2010 agreement was reached with the UNEP's Regional Office in America (RONA) to establish and co-fund a joint P-2 post for a year to promote the Convention in North America region. The process for filling the position is at an advanced stage.

16. The Secretariat of the Pacific Regional Environment Programme (SPREP) contributed to the establishment of the CMS Pacific Officer, for an initial period of 12 months based in Apia at the SPREP premises, by undertaking recruitment and direct supervision of the officer and providing matching funds (\$15,000) towards the position as well as office space and related facilities. The process for filling this position is equally at advanced stage.

17. Through its internship programme, the Secretariat has enjoyed the valuable support of university graduates or students that have assisted CMS staff in their day-to-day work for an average period of three months on a non-remunerative basis. During the current triennium, 90 interns from 28 countries (28 percent of which from developing countries) assisted the Secretariat in the accomplishment of tasks or projects in the scientific/technical, administrative and information fields.

Activities and services

18. In addition to providing the Secretariat premises and basic office equipment, the Government of Germany offered interpretation services for the Scientific Council (2010), Standing Committee (2009-2010) and Future Shape Working Group meetings (2011) held in Bonn; the venue for the Standing Committee meeting in 2010 and the staff retreat; printing of COP9 proceedings and of booklets which compile texts of all CMS instruments.

19. More member states, including a number of developing countries, were able to contribute to the Convention's work by hosting meetings and assisting with related logistical arrangements. For instance, the Government of the Philippines hosted the third and conclusive meeting on the negotiation of the sharks MoU and the Government of Rwanda provided the venue for the First Meeting of the Technical Committee of the Gorilla Agreement.

20. UNEP Headquarters as well as its Regional Offices have been providing support in various forms from advice on legal and institutional matters to logistical assistance for meetings. For instance, the MoU on Marine Turtles in the Indian Ocean and South East Asia (IOSEA) is hosted by the UNEP Regional Office for Asia and Pacific (ROAP) in Bangkok, and the Regional Office for Latin America and Caribbean (ROLAC) assisted with the organization of the Capacity Building workshop which took place in 2010 in Panama.

21. Partnerships with the NGO sector have also been critical in providing additional resources for CMS as well as in supporting common conservation objectives. In particular, a number of NGOs have supported the work of the Secretariat by preparing technical papers offered advice towards the implementation of CMS instruments and logistical assistance to the organization of related events. For instance, WDCS has paid for personnel time to develop the review on Implementation of Res 8.22 on human-induced impacts on cetaceans. Further information is included in UNEP/CMS/Conf.10.9 “Progress in the Implementation of Article IV Agreements already Concluded and Development of new Agreements”.

22. Within the CMS Family co-located (Bonn) Secretariats, synergies and mutual support have been enhanced. For instance a number of AEWA and EUROBATS staff members are to be deployed at COP10 to assist the CMS Secretariat in taking care of logistical aspects of the meeting. Further details can be found in UNEP/CMS/Conf.10. 28 “Report on synergies and partnerships”.

23. TUI AG (Touristik Union International, German tour operator) also contributed to the “Year of the Gorilla” campaign in 2009 by promoting educational and awareness raising activities. Lufthansa, in addition to offering the prize of €10,000 for the Thesis Award, is providing flight tickets for the winner to attend COP10 and for the team of selected experts to meet in Bonn in September to vet theses and decide upon the best candidate. The Museum Koenig of Bonn also contributes to the initiative by generating appropriate data repositories and related metadata, as well as online and/or hardcopy publication of the winner’s thesis.

Ongoing developments

24. Consultations with the Government of the United Arab Emirates to renew its grant for ensuring continuation of the Project Office in Abu Dhabi for the next triennium are at an advanced stage. A new agreement is intended to be signed by the end of the year.

25. Furthermore, the Government of United States of America has been showing significant interest in the sharks MoU. In addition to developing and coordinating consultations on the development of the Conservation and Management Plan, agreement as to the provision of \$100,000 for the implementation of this instrument is to be reached shortly.

26. The Secretariat has been working on strengthening its efforts to mobilize resources by developing a more coherent and harmonized strategy across the Family aiming at developing a portfolio of projects on the basis of the most pressing needs, and to prioritize and tailor efforts on the basis of donors’ interest and capacity.

27. The Secretariat has also been trying to diversify its donors by exploring other financial sources as a means to raise adequate funding for the implementation of the Convention and has been acting, to some extent, as a catalyst for partner organizations by making them aware of such sources.

28. In 2011, the Secretariat approached the Mohammed Bin Zayed Species Conservation Fund, an endowment Fund established to provide targeted donations to individual initiatives for species conservation, and submitted a number of projects. Although no such grants have yet been awarded, efforts will be continued.

29. Increasing attention has been given to GEF funding. In 2011, the CMS Secretariat engaged a consultant to draw up GEF project proposals in cooperation with several partners. These focused on key species and issues such as gorillas, dugongs and the Critical Site Network Tool. The Secretariat urges the support of those Parties that are covered by the projects' geographical areas through their GEF national allocations. Furthermore, preliminary consultations are currently ongoing with the GEF Secretariat to explore ways in which the GEF portfolio could be extended to include CMS as well as to other biodiversity-related MEAs such as CITES and serve as financial system for them. Further details on justification for possible funding through GEF can be found in UNEP/CMS/Conf.10.41 "Potential Financing for the Implementation of the Convention".

Future needs

30. Even though many activities have been undertaken, much more still needs to be done in a number of areas. For instance, unfortunately sufficient funds have not been received to assist national implementation through capacity building and the effective participation of CMS focal points in the NBSAPs regional and national processes. Furthermore, Resolutions and Recommendations to be adopted at COP10 (e.g. the development of the Strategic Plan 2015-2020) might include provisions with financial implications that are not reflected in the core budget.

31. Since 2009, the Secretariat has been gaining capacity to coordinate and implement some of the MoUs especially due to the above-mentioned additional human resources. These solutions are however time-bound since the additional posts are temporary and due to expire in the next two years - that is even before the end of the next triennium.

32. The permanent establishment of these positions would be desirable to ensure that activities and instruments in Africa and Central Asia and beyond continue to receive the necessary attention and management. Further details can be found in UNEP/CMS/Conf.10.18b "Budget 2012-2014".

33. It is estimated that two additional staff members would be required for the coordination of all other remaining instruments, which can either be grouped by taxa or by region, and specifically for the preparation of meetings, recruitment of further Signatories, and for supporting the implementation of related Action Plans.

34. Besides their coordination, it has been reasonably assessed that each CMS instrument would require on average a minimum of €50,000 per year to carry out key activities included in their Action Plans to ensure best conservation measures for the species concerned.

35. Besides, the CMS Secretariat has been asked, as set in Resolution 9.2, to develop further instruments for the following eight initiatives: Central African Elephants, Central Asian Flyway, Subsaharan and African bats, Central Eurasian Aridland Mammals, Pacific Marine Turtles, South-East Asia Cetaceans, Sahelo-Saharan Antelopes and the Houbara Bustard.

36. The development of these projects requires even at this stage considerable funds that CMS Secretariat undertakes to raise. The Secretariat is in fact asked to engage, as suggested by the ongoing Future Shape process, independent experts to assess whether new instruments are needed for these initiatives, and if this is found to be the case, whether these are best placed under the CMS umbrella. Such an exercise has already been done for Central African Elephants.

37. Furthermore, in case, agreement was reached to conclude these initiatives as non-legally binding MoUs, which means without a self-standing funding mechanism and no Signatory took the ownership of the instrument by financing its Secretariat and/or assuming its coordination, the CMS Secretariat would have to seek funds to cover costs of dedicated staff members or for in-kind support from relevant organizations.

38. Alongside the main business of COP10, there will be a donors' meeting on the evening of Tuesday, 22 November organized by the Secretariat. This meeting gives the Convention's major sponsors, from governmental entities, the private sector and other partners, an opportunity to support relevant activities for the implementation of decisions which will be adopted by COP including the triennial Strategic Plan as well as other species conservation initiatives by announcing and/or pledging their financial or in-kind contributions.

Action requested:

The Conference of the Parties is invited to:

- a. Acknowledge and appreciate the financial and in-kind support offered by Governments, UNEP and its MEAs, the private sector and partner organizations.
- b. Take note of and appreciate the efforts made by the Secretariat in raising both financial and human resources for additional activities during 2009-2011.
- c. Consider and urge Parties, partners and other donors to provide additional extra-budgetary resources to further support the implementation of the Convention and its related instruments.
- d. Recognize the Convention's financial needs and be open to new and innovative ways of meeting them.