



CONVENTION ON MIGRATORY SPECIES

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RESOURCE MOBILIZATION

Summary:

The present report provides the state of resources mobilized, as at July 2014, since the Tenth Meeting of the Conference of the Parties (Bergen, November 2011).

These resources (both financial and in-kind) contribute to the attainment of many Resolutions; all targets of the Strategic Plan 2006-2014 (Resolution 10.5) and the activities of the Future Shape (Resolution 10.9) with special attention to those included in Resolution 10.1 (see Annex).

RESOURCE MOBILIZATION

(Prepared by the UNEP/CMS Secretariat)

Introduction

1. The Convention's work has been guided by Resolutions adopted by COPs; the Strategic Plan 2006-2014 and the "Future Shape" decisions, which set the goals for the implementation of the Convention at national, regional and global levels. Although many of these provisions were primarily to be met by Parties, some of them fell under the responsibility of the Secretariat. The COP-approved triennial budget was mainly earmarked for staff salaries, operational costs and some core activities, while voluntary contributions were to be sought for most of the mandated activities. Furthermore, the Secretariat provides basic administrative services to the majority of the 26 instruments developed so far, and facilitates the necessary organizational procedures. As these instruments have no core operational funding, their implementation depends mainly on voluntary contributions supplemented to a very limited extent on the CMS core budget.

Efforts

2. In the triennium 2012-2014, the resource mobilization efforts of the Secretariat have been guided and were based on the following elements:

Objectives

- Increase predictable and adequate funding for financing of programmes and operations;
- Broaden the array of donors including the promotion of the engagement of the private sector as well as the general public;
- Increase flexibility in the use of funds, in the context of adopted programmes of work;
- Increase synergistic work with relevant organizations to maximize resources and results; and
- Promote and facilitate mobilization of resources for actions on the ground.

Schemes and tools

- Prioritization of work: mapping funding needs against existing capacity for delivery;
- Engagement with multi-year funding arrangements and mechanisms e.g., GEF¹, European Commission programmes (i.e. ENRTP², ACP MEAs³);
- Partnerships: working with organizations to generate financial and in-kind support jointly; and
- Support to conservation work: act as a catalyst for implementing organizations by making them aware of funding sources and supporting them in obtaining funds for the implementation of CMS mandate with letters of endorsement.

¹ GEF: Global Environment Facility.

² ENRTP: The Thematic Programme for Environment and Sustainable Management of Natural Resources including Energy Strategic Cooperation Agreement (SCA) between the European Commission - DG Environment and UNEP".

³ Capacity-Building Project to promote implementation of Multilateral Environmental Agreements in African, Caribbean and Pacific (ACP) Countries (phase II).

Approaches

- General appeals: letters to Parties and MoU Signatories with lists of funding needs as mandated by Res. 10.1;
- Tailored contacts: written communications and direct and personal contacts with specific proposals;
- Events and outreach activities: e.g. (WMBD Benefit Concerts) to raise awareness and advocate for funding;
- Consultation with partners: e.g. liaising with MEAs to promote common initiatives jointly; and
- Notifications of funding opportunities e.g. USFWS, Darwin Initiative, SOS grants encouraging partner organizations to submit proposals contributing to the implementation of the Convention and its instruments. Several CMS partner proposals were supported by the Secretariat with letters of endorsement.

Migratory Species Champion Programme

3. As instructed by Resolution 10.9 on the Future Structure and Strategies of the CMS Family, the CMS Secretariat has explored opportunities to expand and enhance fundraising activities for the Family and has devised, in collaboration with the AEWA Secretariat, the “Migratory Species Champion Programme”. This innovative fundraising scheme aims at streamlining current fundraising efforts; increasing the incoming flow of voluntary contributions and making it more sustainable. The programme was adopted by the CMS Standing Committee in 2013 as well as by similar bodies of the other CMS Family instruments and it is planned to launch it in September 2014.

4. Alongside the main business of COP11, there will be a donors’ meeting organized by the Secretariat to acknowledge existing Champions as well as engage new ones.

Results

5. The Secretariat has raised considerable resources, which enabled the implementation of a number of priority actions and is grateful for the support received, especially given the current economic circumstances. The following paragraphs provide an overview of the financial and in-kind contributions received since the Tenth Meeting of the Conference of the Parties (November 2011) as well as their sources. A full report of resources obtained for the activities to be funded by voluntary contributions as per Future Shape (Annex II to Resolution 10.1) is included in the Annex to this document.

Funds received

6. The total of voluntary contributions received from Governments and organizations to implement the Convention and some of its instruments amounts to **€2,490,000** (13 per cent UNEP PSC to be deducted). This amount however does not include the substantial financial and in-kind grant for the triennium 2012-2014 already announced at COP10 and provided by the Environment Agency – Abu Dhabi, on behalf of the Government of the United Arab Emirates covering the core budget for CMS Office – Abu Dhabi, which coordinates the Raptors and Dugongs MoUs.

7. These resources were provided by the Governments of Australia, Finland, Germany,

Monaco, New Zealand, Norway, Saudi Arabia, South Africa, Switzerland and the United Kingdom; the European Commission; UNEP DELC and ROE; the CITES Secretariat; Italian Institutions (Authority of the Ulisse Riviera Regional Park and the Regional Centre for Environmental Education and Information (C.R.E.I.A.)). AEWAs, EUROBATs, the Sharks MoU, and CMS Office – Abu Dhabi also financially contributed to the joint development of the CMS Family website.

Indirect financial contributions and in-kind contributions

8. For part of the triennium, the Secretariat benefitted from three positions of Junior Professional Officer (JPO)⁴ which were already established before COP10. The Government of Germany offered a JPO to coordinate CMS work in Central Asia and a JPO dealing with Information Management issues. The Government of Finland sponsored a JPO to support capacity building in Africa. In consultation with the Standing Committee, the first two positions could be extended using savings from the core budget (see UNEP/CMS/COP11/Doc.14.1). An additional position (P-2 Officer) dedicated to sharks and gorillas conservation was funded by Germany for three years.

9. Further contributions were provided since 2012 either in the form of financial support, which was not channelled through the Secretariat but did directly feed into activities, or of in-kind support through provision of personnel or services.

Personnel

10. The German Government has agreed to fund a CMS/CITES position to support joint work on African elephants and other mammals for three years starting from late 2014. Administrative arrangements to fill this position are being finalized by UNEP.

11. The CMS Secretariat is profiting from the legal expertise of Chris A. Wold, Professor of Law and Director, International Environmental Law Project of the Lewis & Clark Law School, Portland, USA, who generously offered to join the Secretariat for five months and provide advice and support relating to legal and institutional matters in the run-up to COP11.

12. Through its internship programme, the Secretariat has enjoyed the valuable support of university graduates or students who have assisted CMS staff in their day-to-day work for an average period of three months on a non-remunerative basis. During the current triennium, over 50 interns from various countries have assisted the Secretariat in the accomplishment of tasks or projects in the scientific/technical, administrative and information fields.

Activities and services

13. The German Society for International Cooperation (GIZ) provided assistance in the development of the Central Asian Mammals Initiative through the EU-funded FLERMONECA project⁵, including organizing of meetings and provision of national officers in five Central Asian countries to support the implementation of CMS activities. Several

⁴ JPO positions are sponsored by Governments and consist of one-year fixed term contract at the UN P2 level which are normally renewed for a second year (two years being the usual duration of assignment), subject to satisfactory performance. In exceptional circumstances, several donors sponsor assignments of up to four years. For instance, some Governments offer the possibility of extension for the third year on a cost sharing (50%) basis with the institution. In the case of Germany a third year can be fully granted if the institution covers the full costs for a fourth year.

⁵ Regional Project Forest and Biodiversity Governance Including Environmental Monitoring.

other activities were sponsored by GIZ including the development of the International Argali Action Plan, in 2012 and the feasibility study on the Central Asian Flyway, in 2013. The German Federal Agency for Nature Conservation (BfN) is also supporting the development of the Central Asian Mammal Initiative by covering some costs of the Stakeholder Meeting on the Conservation of Large Mammals in Central Asia (September 2014).

14. Approximately €70,000 was mobilized with the International Renewable Energy Agency (IRENA) and BirdLife International towards the joint project on renewable energy and migratory species.

15. Two CMS-sponsored benefit concerts given by the Bologna Opera Choir were organized as part of the celebrations of World Migratory Bird Day (May 2013 and 2014). The proceeds (€2,130 and €4,396) of the events supported the development of the Action Plan for the Grey Crowned Crane and conservation activities for the White-winged Flufftail respectively.

16. In addition to providing the Secretariat premises and basic office equipment, the Government of Germany offered interpretation services for the Scientific Council (2014) and Standing Committee (2012-2013) meetings held in Bonn and printing of COP10 proceedings.

17. More Parties were able to contribute to the Convention's work by hosting meetings and assisting with related logistical arrangements. For instance, the Government of the Costa Rica provided the venue for the workshop aimed at developing a CMS Programme of Work on Climate Change and Migratory Species in April 2014; the Government of Tunisia hosted the meeting of the Scientific Council Working Group on Minimizing the Risk of Poisoning to Migratory Birds in May 2013 and the Government of South Africa hosted the training sessions on the Manual for National Focal Points for Africa and the Africa regional consultation meeting on the CMS Strategic Plan in September 2013.

18. UNEP Headquarters as well as its Regional Offices have been providing support in various forms. Partnerships with the NGO sector have also been critical in providing additional resources for CMS as well as in supporting common conservation objectives (see document UNEP/CMS/COP11/Doc.21.1).

19. Within the CMS Family co-located (Bonn) Secretariats, synergies and mutual support have been enhanced. For instance a number of AEWA staff members are to be deployed at COP11 to assist the CMS Secretariat in taking care of logistical aspects of the meeting.

Enhancing engagement with the Global Environment Facility (Resolution 10. 25)

20. Increasing attention has been given to GEF funding. In 2011, the CMS Secretariat engaged a consultant to draw up GEF project proposals in cooperation with several partners. The core funding provided by Environment Agency – Abu Dhabi on behalf of the Government of the United Arab Emirates as part of its 2012-14 grant for CMS Office – Abu Dhabi enabled the Dugong MoU Secretariat to commit staff time to secure a USD 170,000 project development grant from GEF to develop to final endorsement (July 2014) the GEF Dugong and Seagrass Conservation Project, a five-year project, worth a net total of US\$5.88 million. The project foresees the implementation of 40 projects in eight countries (Indonesia, Madagascar, Malaysia, Mozambique, the Solomon Islands, Sri Lanka, Timor Leste and Vanuatu) with contributions from nine other countries (Australia, India, Kenya, Myanmar,

Papua New Guinea, Philippines, Seychelles, Tanzania, United Arab Emirates) amounting to USD 99 million in co-financing.

21. On 2-3 September 2013, a Meeting of members of the Liaison Group of Biodiversity-related Conventions (BLG), including the CMS Secretariat, and the Global Environment Facility (GEF), took place to discuss how access to GEF funding could be facilitated by harnessing synergies among BLG members. It responded to the pertinent decision taken at the informal meeting of the BLG, held in January 2013 in the margins of IPBES-1 in Bonn, Germany, as well as to the agreement of the GEF Council at its 41st Meeting, held 8-11 November 2011. At this meeting, participants had noted that additional funding for activities of biodiversity-related conventions other than CBD may be obtained from the GEF if the activities contribute to the achievement of the Strategic Plan for Biodiversity 2011-2020 and its Aichi Targets. They had agreed that a joint approach by the conventions may facilitate such access to funding and that further work in this regard would be discussed at the next meeting of the BLG.

22. BLG invited the GEF Secretariat to recognize explicitly, in future drafts, the potential synergies with, and contributions to the implementation of, other biodiversity-related conventions. In addition, it was agreed to further explore the usefulness of sending to the GEF, in due course, a collective statement of support for the GEF programming directions, including the biodiversity strategy, and for a strong replenishment.

23. Participants agreed to further explore the feasibility of an arrangement which would enable the communication of pertinent recommendations of other biodiversity-related conventions to the GEF in a coordinated manner with a view to preparing a related proposal for consideration by CBD WGRI-5 and COP12.

24. Further progress is being made with the UNEP project “Synergies among the biodiversity related Conventions through increasing coordination and cooperation in the mobilization of financial resources to enhance synergies among biodiversity-related conventions”. This project, funded by Switzerland, is complementing a larger ENRTP project – undertaken by UNEP and funded by the European Commission – and seeks to enhance cooperation among UNEP, other host institutions, the secretariats of biodiversity-related conventions and national authorities or focal points in achieving effective and synergistic implementation of such biodiversity-related conventions. The project particularly seeks to develop an analytical report as well as guidelines or a resource book on the development and submission of integrated project proposals to GEF and other donors. A kick-off workshop took place in the margins of CBD SBSTTA-17, in October 2013. The CMS Secretariat is fully involved in the project and has already provided inputs to its early stages of development.

Current developments

25. Consultations with the Government of the United Arab Emirates to renew its grant for ensuring continuation of the Project Office in Abu Dhabi for the next triennium are at an advanced stage. It is hoped that a new agreement can be signed by the end of the year.

Future needs

26. The Secretariat developed a costed programme of work (CPW) (see document

UNEP/CMS/COP11/Doc.14.2) for the consideration of COP11, which estimates the resources, human and financial, needed to implement activities for the period 2015-2017. The CPW is based on the allocations proposed in the three scenarios of the budget for 2015-2017 (see document UNEP/CMS/COP11/Doc.14.3) and indicates the level of additional resources needed by the Secretariat to fully implement its future mandate. This document will provide the basis for resource mobilization efforts for the next triennium.

Action requested:

The Conference of the Parties is requested to:

- (a) Acknowledge and appreciate the financial and in-kind support offered by Governments, UNEP and its MEAs and partner organizations.
- (b) Take note of and appreciate the efforts made by the Secretariat in raising both financial and human resources for activities during 2012-2014.
- (c) Acknowledge the new and innovative fundraising schemes and approaches implemented by the Secretariat.
- (d) Encourage Parties, partners and other donors to provide additional extra-budgetary resources to further support the implementation of the Convention and its related instruments.

ACTIVITIES TO BE FUNDED BY VOLUNTARY CONTRIBUTIONS AS PER FUTURE SHAPE

(as per Annex II to Resolution 10.1)

Activity/ Budget Item	Amount suggested for 2012 – 2014 (€)	Amount raised by July 2014 (€)	Note
<p>Publicity campaign - Activity 2.2: <i>Encourage more NGOs and private sector to become engaged in Agreements and MoUs.</i></p>	22,899	No funding was needed or sought.	<p>Promoting collaboration with partner NGOs is one of CMS's core activities, which is undertaken to the extent possible given staff available time.</p> <p>The Migratory Species Champion Programme intends to provide the basis for engaging the private sector in the conservation of CMS species. Funds for the development of the programme were allocated in the core budget (€5,667 per year, in line 5202).</p>
<p>Support of existing systems - Activity 3.1: <i>Explore opportunities to improve the synergies between the CMS science base with the development of IPBES, as well as collaborating with and learning lessons from existing data hubs (e.g. utilization of existing systems such as TEMATEA, UNEP-WCMC, IUCN, Wetlands International) to enhance the delivery of CMS Family objectives.</i></p>	60,000	c.a. 15,300 from UNEP ROE ¹ for the Index.	<p>The Secretariat and the Scientific Council are fully engaged in IPBES seizing opportunities to enhance the CMS science base.</p> <p>The CMS and AEWA Secretariats cooperated with CAFF² in the development of an Arctic Migratory Birds index. The Secretariat is fully engaged in the MEA IKM Initiative³ and ensured that the CMS Family website is interoperable with the InforMEA Web-Portal. See document UNEP/CMS/COP11/Doc.19.1.</p>

¹ UNEP Regional Office for Europe.

² Secretariat of the Conservation of Arctic Flora and Fauna.

³ The Multilateral Environmental Agreements and Information Knowledge Management (MEA IKM) Initiative.

<p>Translation of CMS Website - Activity 4.1: <i>Produce CMS website in three languages.</i></p>	17,500	No funding was needed or sought.	The CMS Family website was released in March 2014. While the main language pages were made accessible at the date of the launch, additional text and documents have been added as they became available. All translation work was covered by the core budget (€8,000 per year, in line 1203).
<p>Translation of guidance documents - Activity 4.4: <i>Parties/Signatories to begin to translate guidance documents into local languages to assist implementation.</i></p>	10,000	No funding was needed or sought.	The Secretariat has encouraged stakeholders to translate key documents in other languages. For instance, the German Society for International Cooperation (GIZ) translated the Manual for National Focal Points and the draft Strategic Plan into Russian.
<p>CMS Global GAP analysis - Activities 5.1, 5.3, 16.3: <i>Global Gap and Resource Assessment, including:</i></p> <ol style="list-style-type: none"> 1. <i>CMS Secretariat to coordinate a global gap analysis at Convention level.</i> 2. <i>Resource assessment of Convention (CMS Secretariat and MoUs).</i> 3. <i>Undertake an assessment of MoUs and their viability.</i> 	140,500	Funding was sought but none was received.	<p>Attempts to raise funds to undertake component 3, MoU assessment, were made. However, no resources were obtained.</p> <p>Considering the lack of prospects to raise funds for all three exercises, the Secretariat undertook assessments no. 1 and 3 in-house. See documents UNEP/CMS/COP11/Doc.17.3 and UNEP/CMS/COP11/Doc.22.3. Assessment no. 2 could not be developed.</p>
<p>Partnerships - Activity 8.2 (8.1): <i>Regionalize conservation efforts by having local coordinators with assistance from UNEP, NGOs, Parties and MEAs, leading to greater presence in each of the regions if appropriate.</i></p>	40,000	76,357 from Switzerland; and significant contribution from BfN ⁴ and GIZ Regional Programme for Central Asia in support of the development of the Central Asian Mammal Initiative.	Core funds (€45,000 per year in line 1204) were partially used to service the existing MOU coordination arrangements that the Secretariat has with certain NGOs as well as to renew the arrangement with the Secretariat of the Pacific Regional Environment Programme (SPREP) to promote CMS work in the Pacific including recruitment of new CMS Parties and MOU signatories and support the implementation of MOUs and their action plans.

⁴ German Federal Agency for Nature Conservation (Bundesamt für Naturschutz, **BfN**).

			The Secretariat has however made significant progress in mobilizing resources in support of conservation efforts in Central Asia to promote the implementation of CMS activities and instruments in the region. See document UNEP/CMS/COP11/Doc.23.3.1.
<p>Implementation Monitoring- Activity 12.5: <i>Create criteria against which to assess proposed new agreements.</i></p> <p><i>Improve identification of priority objectives and prioritize current activities and develop a policy where monitoring implementation must be a part of any future MoUs.</i></p> <p><i>Development and/or use of indicators to monitor effectiveness of agreements.</i></p>	32,000	c.a. 9,600 for policy approach from Germany	An independent policy approach with regard to developing, resourcing and servicing CMS Agreements including criteria to evaluate their effectiveness, was developed. See document UNEP/CMS/COP11/Doc.22.2.
<p>Capacity-building - Activity 13.1, 13.2: <i>Work with local and regional partners to develop capacity building.</i></p> <p><i>CMS provides centralized services relating to build capacity with the CMS Family including training and educational activities.</i></p>	105,000	c.a. 696,000 from EC.	The CMS Secretariat, together with the AEWA Secretariat, led capacity-building efforts within the CMS Family. In the context of an EC-funded project, a manual to assist the CMS Family National Focal Points (NFPs) in their roles and responsibilities was developed. A complementary training session was held for African NFPs in South Africa. Similar training workshops are planned to be/have been held for CMS Family NFPs in the Pacific and Caribbean regions. An interactive e-community platform for NFPs is also implemented as a part of the development of the CMS Family Website. Furthermore, progress was made to increase the capacity of customs officers and fisheries officials regarding the implementation of CMS and the Sharks MOU in the Middle East and North Africa Regions.

<p>JPO for Fundraising - Activity 14.1: <i>CMS coordinate fundraising activities work with partners and stakeholders to expand fundraising activities.</i></p>	<p>255,000 (indicative figure)</p>	<p>Such a JPO is welcome. The Secretariat would however give priority to requests for a JPO assisting with Capacity Building work.</p>	<p>Fundraising efforts by the Secretariat increased since 2011 with the existing capacity. In addition, the Migratory Species Champion Programme aims to draw support from important CMS stakeholders (i.e. Ambassadors) for the promotion of the Convention and in reaching out to new potential donors.</p>
<p>Assessment and Monitoring - Activity 16.1: <i>Utilization of systems of assessment and monitoring external to CMS (for example by UNEP-WCMC).</i></p>	<p>101,000</p>	<p>c.a.53,850 for the Strategic Plan development from UNEP/DELG, Germany, Switzerland and South Africa.</p>	<p>A monitoring and evaluation regime is being developed for the Migratory Species Strategic Plan under the guidance of the Strategic Plan Working Group and in consultation with the CMS Scientific Council. A central part of this regime is a suite of headline indicators, used to track progress towards the achievement of the goals and targets. Core funding (€25,000 per year, line 3303) was matched with voluntary contributions See document UNEP/CMS/COP11/Doc.15.2.</p>
<p>Associate Information Officer</p>	<p>175,134</p>	<p>No funding was needed or sought.</p>	<p>The temporary position of an Associate Information Officer (P2) was created for the period September 2013 – December 2014 utilizing reserves in the core budget (c.a. €100,000), as approved by the Standing Committee.</p>
<p>Development of a new CMS Website</p>	<p>97,500</p>	<p>c.a. 75,000 from Germany, Finland and CMS instruments.</p>	<p>The CMS Family website was launched in March 2014. Voluntary contributions matched funds allocated in the core budget (€10,000 per year, in line 2203) to cover all related costs.</p>
<p>Development of the online reporting system (ORS) including an associated analytical tool</p>	<p>75,000</p>	<p>Efforts were made in 2012 and 2013 to raise funds for the improvement of the existing ORS and development of an analytical tool. No</p>	<p>Some funds allocated in the core budget (in total €24,000 per year, in line 1205), were used to produce templates in the ORS for the reporting cycle ending at COP11. In the meantime, also thanks to the efforts of the CMS and AEW Secretariats, UNEP-WCMC received an EC grant to further develop the ORS and create separate reporting platforms for each of the</p>

		funding was obtained. .	biodiversity-related MEAs. In parallel, the CMS and AEWAs Secretariats started a dialogue, in the context of the BLG, to explore the possibility of upgrading the ORS to accommodate the needs of other MEAs and create an associated analytical tool. Related fundraising efforts are therefore planned to be made jointly with other MEA Secretariats.
TOTAL	1,131,533	926,107	