



Distribution: General

UNEP/CMS/COP12/Doc.21.2.1

5 July 2017

Original: English

12th MEETING OF THE CONFERENCE OF THE PARTIES Manila, Philippines, 23 - 28 October 2017 Agenda Item 21.2.1

CONSOLIDATION OF RESOLUTIONS: NATIONAL REPORTS

(Prepared by the Secretariat on behalf of the Secretariat)

Summary:

This document consolidates three Resolutions on national reports into a single Resolution.

CONSOLIDATION OF RESOLUTIONS: NATIONAL REPORTS

Background

- 1. Three Resolutions provide advice to the Parties relating to national reports:
 - a) Resolution 4.1, Party Reports;
 - b) Resolution 6.5, Information Management Plan and National Reporting; and
 - c) Resolution 9.4, The Future of National Reports.
- Annex 1 presents a draft consolidated resolution that includes, in the left-hand column, the original text and preamble of the Resolutions being consolidated. The right-hand column indicates the source of the text and a comment regarding any proposed change.
- 3. Annex 2 contains the clean version of the draft consolidated Resolution, taking into account the comments in Annex 1.
- 4. The Secretariat notes that Resolution 6.5 includes an Annex, *Implementation of CMS Information Management Plan*, which included activities to be completed between 2000 and 2005. That plan is lengthy and out of date, and it has been superseded by subsequent information management plans. Consequently, it has not been reproduced in this document. It should be repealed in full. It can be found http://www.cms.int/en/document/information-management-plan-and-national-reporting.

Recommended Actions:

- 5. The Conference of the Parties is recommended to
 - a) adopt the consolidated Resolution included in Annex 2.

ANNEX 1

DRAFT CONSOLIDATED RESOLUTION: NATIONAL REPORTS

NB: Proposed new text is <u>underlined</u>; Text to be deleted is crossed out.

Text from Existing Resolutions	Origin/Comment
Recalling Resolutions 4.1, 6.5, and 9.4;	New text to reflect consolidation
Pursuant to Article VI (3) of the Convention which obliges Parties to submit national reports on the measures they are	Resolution 9.4
taking to implement the provisions of the Convention;	Retain
Recalling Article VI, paragraph 3, of the Convention calls upon Parties that are Range States of migratory species	Resolution 4.1
listed in Appendices I and II to inform the Conference of the Parties on their implementation of the Convention,	Repeal; redundant
<u>Emphasizsing</u> the important role of national reports as vital indicators of the implementation of the Convention;	Resolution 9.4 Retain
Noting the importance for such reports to be submitted at least six months before any given meeting of the	Resolution 4.1
Conference of the Parties, to allow the Secretariat to prepare a meaningful synthesis	Retain
Reminding Parties of the necessity of timely submission of their reports before the meetings of the Conference of the	Resolution 6.5,
Parties, as outlined in Resolution 4.1 (Nairobi, 1994) to enable a thorough synthesis to be prepared;	Retain with changes to reflect consolidation
Aware of the difficulties experienced by some Governments in the compilation and development of national reports;	Resolution 6.5 Retain
Aware that many Parties to the Convention have never not submitted national reports for each reporting cycle or have not submitted information in sufficient detail;	Resolution 4.1, Party Reports
Thot submitted information in sufficient detail,	Retain as modified; previous statement is no
Also noting that 58 reports had been submitted to the	longer true Resolution 9.4
Eighth Meeting of the Conference of the Parties;	Retain
Further noting that 60 reports were submitted to the Ninth Meeting of the Conference of the Parties out of 102 that	Resolution 9.4
were due, as detailed in Conference Document 9.10; of the current total of 110 Parties, 8 were not yet required to submit reports;	Retain

Text from Existing Resolutions	Origin/Comment
Further noting that 68 out of 113 eligible Parties submitted national reports prior to the 10th Meeting of the Conference of the Parties and 59 out of 118 eligible Parties submitted national reports using the standard online reporting prior to the 11th Meeting of the Conference of the Parties;	New text to correspond to previous two paragraphs
Recognizing that a standard format for national reports would provide a useful structure for organizing the information received, and would facilitate its incorporation in a comprehensive database;	Resolution 4.1 Retain
Acknowledging the comprehensive work done to date by the Secretariat and the World Conservation Monitoring Centre in the preparation of the Information Management Plan (document UNEP/CMS/Conf 6.7 and Addendum);	Resolution 6.5 Repeal; out of date
Acknowledging the need to ensure consistency and best practice in the compilation of national reports, containing the best available information and scientific knowledge;	Resolution 6.5 Retain
Noting the importance of harmonising harmonizing reporting procedures across all biodiversity instruments, especially the Agreements and Mmemorandaum of Uunderstanding related to the Convention, thereby increasing collaboration between convention secretariats;	Resolution 6.5 Retain
Underlining that reporting should be kept concise and focused, avoid duplication and be outcome orientated so that there is more time for the implementation of conservation measures; and	Resolution 9.4 Retain
Noting the progress made by the CMS Secretariat since COP8 in amending the national reporting format;	Resolution 9.4 Retain
Welcoming the development of the specifications for online reporting, which would should make significant advances both in the reporting process and harmonization of reports within the CMS Family;	Resolution 9.4 Retain with updates
Recognizing the need for an evaluation of implementation based, in part, on a synthesis of all previous national reports; and	Resolution 6.5 Retain
Recognizing the opportunity to use national reports and the associated synthesis to promote the objectives of the Convention and its implementation at the local and national level, and to national and international governmental and non-governmental organizations organisations;	Resolution 6.5 Retain

Text from Existing Resolutions	Origin/Comment
The Conference of the Parties to the Convention on the Conservation of Migratory Species of Wild Animals	
1. Urges all Parties to submit to the Secretariat comprehensive national reports on their implementation of	Resolution 4.1
the Convention following the agreed formats annexed to this resolution;	Repeal. Paragraph 1 and the accompanying annex establish a format for national reports. They have been superseded by the new online reporting system.
1. Urges all Contracting Parties that have not yet done so	Resolution 9.4
to submit at the earliest date, but no later than 31 December 2008, national reports for the triennium 2005-2008;	Repeal; out of date
3. 1. Urges all Contracting Parties, in accordance with the provisions of Article VI of the Convention, to submit	Resolution 9.4
national reports for the triennium 2009-2011 to the Secretariat at least six months before each ordinary the Tenth Meeting of the Conference of the Parties;	Repeal as out of date, but modify to make an ongoing obligation
3. 2. Requests the Secretariat to send a reminder to Parties	Resolution 4.1
well in advance of the deadline for submission of reports, which is six months before the meeting of the Conference of the Parties and to issue reminders if the reports are not received by the said date; and	Retain with text added from paragraph 4 of Resolution 9.4.
5. 3. Calls upon the Parties to nominate focal points for correspondence, including national reporting, and to nominate contacts in other national authorities, as appropriate, for other issues; and	Resolution 6.5 Retain
2. 4. Encourages national focal points and their Scientific	Resolution 4.1
Councillor counterparts to liaise on the preparation of national reports before they are submitted to the Secretariat through official channels;	Retain
4.5. Directs the Secretariat to compile the information	Resolution 4.1
received from Parties in a database, to be updated intersessionally with any new information that may be made available by Parties-;	Retain
1. Recommends that the format of national reports should	Resolution 6.5
be revised to include a standard minimum requirement of information such as a "tick box" proforma. Taking into account the Strategic Plan, it should cover national status of species listed under the Convention, legal and legislative structures, management activities, emerging issues, links with other conventions and any theme which the Conference of the Parties might ask Contracting Parties to report on;	Repeal. Paragraph 1 has been superseded by the new online reporting system.
2. Instructs the Secretariat to develop, in conjunction with the Scientific Council and Standing Committee, and	Resolution 6.5,

Text from Existing Resolutions	Origin/Comment
circulate a revised reporting format to Contracting Parties to enable Parties to use the new format voluntarily for reporting to the seventh meeting of the Conference of the Parties;	Repeal. Paragraph 2 asks the Secretariat to perform a duty by COP7.
3. 6. Urges the Secretariat to identify, in cooperation with Parties, possible obstacles and/or constraints in preparing national reports;	Resolution 6.5 Retain
4-7. Recommends that the Secretariat develop mechanisms to support countries in submitting their national reports, including assistance for developing countries to help gather the required information;	Resolution 6.5 Retain
6. Decides that, to implement the Information Management Plan, the revised priority actions outlined in the Annex be carried out.	Resolution 6.5 Repeal. Paragraph 6 has been superseded by subsequent resolutions.
2. 8. Instructs the Secretariat to perform an analysis of the reports received by 31 December 2008 and to make the results available to the Parties, consistent with the rules for submission of documents to meetings of the Conference of the Parties the members of the Standing Committee meeting and the Scientific Council by the end of April 2009, so that the findings can help inform their activities and decision-making;	Resolution 9.4 Repeal; out of date. However, the text could be revised to make an ongoing obligation.
4. Requests the Secretariat to advise Contracting Parties of the date for submission of their national reports for the Tenth meeting of the Conference of the Parties and to issue reminders if the reports are not received by the said date:	Resolution 9.4 Repeal; out of date
5.9. Further requests the Secretariat to advance harmonization of reporting with other international biodiversity agreements through the development of common reporting modules, via the framework of the Biodiversity Liaison Group and in consultation with UNEP-WCMC:	Resolution 9.4 Retain
6. Requests the Secretariats and Parties of CMS Agreements to collaborate in the implementation and harmonization of online reporting implementation;	Resolution 9.4 Repeal; online reporting has been established
9. 10. Requests the CMS Secretariat to continue to liaise with the CBD Secretariat and the other biodiversity-related conventions and relevant institutions with a view to adopting suitable indicators to measure the achievement of the relevant Aichi targets; and	Resolution 9.4 Retain with updated reference
7.11. Also requests UNEP to continue to seek opportunities to support the Convention through the Knowledge Management and related projects; and 8. Requests, for the triennium 2009-2011, the Secretariat	Resolution 9.4 Retain Resolution 9.4
to provide to the Parties, the format of the report, oriented	1.030idilott 3.4

Text from Existing Resolutions	Origin/Comment
to the collection of the results obtained, at least 18 months	Repeal; out of date
before COP10;	,
12. Repeals	New text to reflect
	consolidation
(a) Resolution 4.1, Party Reports;	
(b) Resolution 6.5, Information Management Plan and National Reporting:	
(c) Resolution 9.4, The Future of National Reports.	
Annex	Resolution 4.1
A. Format A	
OUTLINE FOR INITIAL COMPREHENSIVE REPORT BY PARTIES ON ACCESSION TO THE CONVENTION I. General information, including:	Repeal; superseded by new online reporting guidelines
- Name of Party	
- Date of the report	
 Period covered by the report 	
- Date of entry into force of the Convention for the	
Party	
- Territory to which the Convention applies,	
including dependent territories	
- Reservations:	
- Under Article XIV: in respect of species already	
listed in the Appendices	
- Under Article XI: with regard to amendment of the	
Appendices	
- Appointment to the Scientific Council: name;	
address; and telephone, telefax and telex numbers	
- Designated focal point: name; address; and	
telephone, telefax and telex numbers	
- Membership of the Standing Committee (if	
appropriate)	
II. Implementation of the Convention	
1. Legislation ¹ -through which the Convention is	
implemented, including:	
— - Sources of law	
— - Sources of law — - Competent authorities	
2. Species listed in Appendix I:	
(a) Species for which the Party, including its	
dependent territories, is a Range State and	
information on flag vessels which are engaged	
outside national boundaries in taking these	
migratory species;	
(b) Population size and trends for species; if	
- (b) i opalation size and trends for species, if	

 $^{^{\}rm 1}$ Title, number, date of adoption of the law

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Text from Existing Resolutions	Origin/Comment
appropriate, relevant data on previous and	
present level;	
(c) Measures taken in accordance with Article	
III(4), including conservation/restoration of	
habitats, amelioration of impediments to	
migration and factors endangering species;	
— (d) Measures taken in accordance with Article	
III(5), taking of animals, including:	
- Prohibition of taking (national legislation) ²	
 Exceptions (grounds for exceptions, 	
period of exceptions, legislation and statistics).	
3. Species listed in Appendix II:	
(a) AGREEMENTS/agreements to which the	
State is a Party or Signatory in accordance with	
Articles IV(3) and IV(4); including date of	
signature, ratification, etc;	
(b) Progress made by the Party in efforts to	
develop and conclude new	
AGREEMENTS/agreements;	
(c) Additional measures taken to conserve	
migratory species listed in Appendix II (within or	
outside the framework of CMS	
AGREEMENTS/agreements).	
4. Any further action taken by the Party as a result of	
resolutions adopted by the Conference of the	
Parties.	
III. List of national activities relating to species listed	
in Appendices I and II and to other migratory	
species (Article II(3a)):	
(a) Survoye:	
— (a) Surveys;	
— (b) Monitoring;	
— (c) Research.	
IV. Any other comments.	
B. Format B	
OUTLINE FOR UPDATING REPORTS BY PARTIES TO	
EACH MEETING OF THE CONFERENCE OF THE	
PARTIES	
I General information	
- Name of Party	
- Date of the report	
- Changes regarding:	
- Inclusion/exclusion of dependent territories;	
птогазіонгохогазіон ог абронаені істионез,	

 $^{^{\}rm 2}$ Details and description of legislation

Text from Existing Resolutions	Origin/Comment
- Reservations; - Appointment to the Scientific Council; - Designated focal point; - Membership of the Standing Committee, if appropriate.	
II. Measures taken to implement decisions of the previous meeting of the Conference of the Parties 1. Concerning species added to Appendix I: (a) Species for which the Party, including its dependent territories, is a Range State and information on flag vessels which are engaged outside national boundaries in taking these migratory species; (b) Population size and trends for species; if appropriate, relevant data on previous and present level; (c) Measures taken in accordance with Article III(4), including conservation/restoration of habitats, amelioration of impediments to migration and factors endangering the species; (d) Measures taken in accordance with Article III (5), taking of animals, including: — Prohibition of taking (legislation); — Exceptions (grounds for exceptions, period of exceptions, legislation, statistics). 2. Concerning species added to Appendix II: Steps taken to develop and conclude AGREEMENTS under Article IV (3) and	
agreements under Article IV (4). 3. Actions taken to implement other resolutions of the Conference of the Parties. III. Other	
1. Changes regarding national legislation and competent authorities. 2. Concerning species listed in Appendix I*: (a) Changes regarding status as "Range State"; (b) Measures which have been taken in accordance with Article III (4) since the last report; (c) Exceptions made with respect to Article III (5) since the last report. 3. Concerning species listed in Appendix II*: (a) Membership in AGREEMENTS/agreements: Articles IV (3) and IV(4);	

Text from Existing Resolutions	Origin/Comment
(b) Progress in developing and concluding new	
draft AGREEMENTS/agreements;	
(c) Update of additional measures to conserve	
migratory species listed in Appendix II.	
4. Any further new action taken by the Party as a result	
of resolutions of the Conference of the Parties.	
IV. Updated list of national activities relating to species	
listed in Appendices I and II and to other migratory	
species (Article II(3a)):	
(a) Surveys,	
(b) Monitoring,	
(c) Research.	
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V.Any other comments.	
* Note by the Secretariat: These sections request the	
provision of new or updated information concerning	
species already listed in the Appendices at the time the	
previous report was prepared.	
previous report was prepared:	
Annex	Resolution 6.5
Implementation of the CMS Information Management	
Plan	Repeal; superseded by
	subsequent information
[Secretariat's Note: This table includes an Information	management plans
Management Plan for the 1999-2002 triennium. Because	
of its length, it has not been reproduced here. It can be	
found by clicking on this link.]	

ANNEX 2

DRAFT RESOLUTION

NATIONAL REPORTS

Recalling Resolutions 4.1, 6.5, and 9.4;

Pursuant to Article VI (3) of the Convention which obliges Parties to submit national reports on the measures they are taking to implement the provisions of the Convention;

Emphasizing the important role of national reports as vital indicators of the implementation of the Convention;

Noting the importance for such reports to be submitted at least six months before any given meeting of the Conference of the Parties, to allow the Secretariat to prepare a meaningful synthesis;

Reminding Parties of the necessity of timely submission of their reports before the meetings of the Conference of the Parties to enable a thorough synthesis to be prepared;

Aware of the difficulties experienced by some Governments in the compilation and development of national reports;

Aware that many Parties to the Convention have not submitted national reports for each reporting cycle or have not submitted information in sufficient detail;

Also noting that 58 reports had been submitted to the Eighth Meeting of the Conference of the Parties;

Further noting that 60 reports were submitted to the Ninth Meeting of the Conference of the Parties out of 102 that were due, as detailed in Conference Document 9.10; of the current total of 110 Parties, 8 were not yet required to submit reports;

Further noting that 68 out of 113 eligible Parties submitted national reports prior to the 10th Meeting of the Conference of the Parties and 59 out of 118 eligible Parties submitted national reports using the standard online reporting prior to the 11th Meeting of the Conference of the Parties:

Recognizing that a standard format for national reports would provide a useful structure for organizing the information received, and would facilitate its incorporation in a comprehensive database;

Acknowledging the need to ensure consistency and best practice in the compilation of national reports, containing the best available information and scientific knowledge;

Noting the importance of harmonizing reporting procedures across all biodiversity instruments, especially the Agreements and Memoranda of Understanding related to the Convention, thereby increasing collaboration between convention secretariats;

Underlining that reporting should be kept concise and focused, avoid duplication and be outcome orientated so that there is more time for the implementation of conservation measures:

Noting the progress made by the CMS Secretariat since COP8 in amending the national reporting format;

Welcoming the development of online reporting, which should make significant advances both in the reporting process and harmonization of reports within the CMS Family;

Recognizing the need for an evaluation of implementation based, in part, on a synthesis of all previous national reports;

Recognizing the opportunity to use national reports and the associated synthesis to promote the objectives of the Convention and its implementation at the local and national level, and to national and international governmental and non-governmental organizations;

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- Urges all Contracting Parties, in accordance with the provisions of Article VI of the Convention, to submit national reports to the Secretariat at least six months before each ordinary Meeting of the Conference of the Parties;
- Requests the Secretariat to send a reminder to Parties well in advance of the deadline
 for submission of reports, which is six months before the meeting of the Conference
 of the Parties and to issue reminders if the reports are not received by the said date;
- Calls upon the Parties to nominate focal points for correspondence, including national reporting, and to nominate contacts in other national authorities, as appropriate, for other issues:
- 4. *Encourages* national focal points and their Scientific Councillor counterparts to liaise on the preparation of national reports before they are submitted to the Secretariat through official channels;
- Directs the Secretariat to compile the information received from Parties in a database, to be updated intersessionally with any new information that may be made available by Parties;
- 6. *Urges* the Secretariat to identify, in cooperation with Parties, possible obstacles and/or constraints in preparing national reports;
- 7. Recommends that the Secretariat develop mechanisms to support countries in submitting their national reports, including assistance for developing countries to help gather the required information;
- 8. Instructs the Secretariat to perform an analysis of the reports received and to make the results available to the Parties, consistent with the rules for submission of

- documents to meetings of the Conference of the Parties so that the findings can help inform their activities and decision-making;
- Further requests the Secretariat to advance harmonization of reporting with other international biodiversity agreements through the development of common reporting modules, via the framework of the Biodiversity Liaison Group and in consulting with UNEP-WCMC;
- 10. Requests the CMS Secretariat to continue to liaise with the CBD Secretariat and the other biodiversity-related conventions and relevant institutions with a view to adopting suitable indicators to measure the achievement of relevant Aichi targets;
- 11. Also requests UNEP to continue to seek opportunities to support the Convention through the Knowledge Management and related projects; and

12. Repeals

- a) Resolution 4.1, Party Reports;
- b) Resolution 6.5, *Information Management Plan and National Reporting*; and
- c) Resolution 9.4, The Future of National Reports.