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|  **CMS** |
|  | CONVENTION ONMIGRATORYSPECIES | Distribution: GeneralUNEP/CMS/COP12/Doc.21.2.15 July 2017Original: English |

12th MEETING OF THE CONFERENCE OF THE PARTIES

## Manila, Philippines, 23 - 28 October 2017

Agenda Item 21.2.1

## Consolidation of Resolutions: NATIONAL REPORTS

*(Prepared by the Secretariat on behalf of the Secretariat)*

Summary:

This document consolidates three Resolutions on national reports into a single Resolution.

**Consolidation of Resolutions: NATIONAL REPORTS**

Background

1. Three Resolutions provide advice to the Parties relating to national reports:
2. [Resolution 4.1, *Party Reports*](http://www.cms.int/en/document/party-reports);
3. [Resolution 6.5, *Information Management Plan and National Reporting*](http://www.cms.int/en/document/information-management-plan-and-national-reporting); and
4. [Resolution 9.4, *The Future of National Reports*](http://www.cms.int/en/document/future-national-reports).
5. Annex 1 presents a draft consolidated resolution that includes, in the left-hand column, the original text and preamble of the Resolutions being consolidated. The right-hand column indicates the source of the text and a comment regarding any proposed change.
6. Annex 2 contains the clean version of the draft consolidated Resolution, taking into account the comments in Annex 1.
7. The Secretariat notes that Resolution 6.5 includes an Annex, *Implementation of CMS Information Management Plan*, which included activities to be completed between 2000 and 2005. That plan is lengthy and out of date, and it has been superseded by subsequent information management plans. Consequently, it has not been reproduced in this document. It should be repealed in full. It can be found [here](http://www.cms.int/en/document/information-management-plan-and-national-reporting): <http://www.cms.int/en/document/information-management-plan-and-national-reporting>.

Recommended Actions:

1. The Conference of the Parties is recommended to
2. adopt the consolidated Resolution included in Annex 2.

**ANNEX 1**

**DRAFT CONSOLIDATED RESOLUTION: NATIONAL REPORTS**

*NB: Proposed new text is underlined; Text to be deleted is ~~crossed out~~.*

| **Text from Existing Resolutions** | **Origin/Comment** |
| --- | --- |
| *Recalling* Resolutions 4.1, 6.5, and 9.4; | New text to reflect consolidation |
| *Pursuant* to Article VI (3) of the Convention which obliges Parties to submit national reports on the measures they are taking to implement the provisions of the Convention; | Resolution 9.4Retain |
| *~~Recalling~~* ~~Article VI, paragraph 3, of the Convention calls upon Parties that are Range States of migratory species listed in Appendices I and II to inform the Conference of the Parties on their implementation of the Convention,~~ | Resolution 4.1Repeal; redundant |
| *Emphasiz~~s~~ing* the important role of national reports as vital indicators of the implementation of the Convention; | Resolution 9.4Retain |
| *Noting* the importance for such reports to be submitted at least six months before any given meeting of the Conference of the Parties, to allow the Secretariat to prepare a meaningful synthesis | Resolution 4.1Retain |
| *Reminding* Parties of the necessity of timely submission of their reports before the meetings of the Conference of the Parties~~, as outlined in Resolution 4.1 (Nairobi, 1994)~~ to enable a thorough synthesis to be prepared; | Resolution 6.5, Retain with changes to reflect consolidation |
| *Aware* of the difficulties experienced by some Governments in the compilation and development of national reports; | Resolution 6.5Retain |
| *Aware* that many Parties to the Convention have ~~never~~ not submitted national reports for each reporting cycle or have not submitted information in sufficient detail; | Resolution 4.1, Party ReportsRetain as modified; previous statement is no longer true  |
| *Also noting* that 58 reports had been submitted to the Eighth Meeting of the Conference of the Parties; | Resolution 9.4Retain |
| *Further noting* that 60 reports were submitted to the Ninth Meeting of the Conference of the Parties out of 102 that were due, as detailed in Conference Document 9.10; of the current total of 110 Parties, 8 were not yet required to submit reports; | Resolution 9.4Retain |
| *Further noting* that 68 out of 113 eligible Parties submitted national reports prior to the 10th Meeting of the Conference of the Parties and59 out of 118 eligible Parties submitted national reports using the standard online reporting prior to the 11th Meeting of the Conference of the Parties;  | New text to correspond to previous two paragraphs |
| *Recognizing* that a standard format for national reports would provide a useful structure for organizing the information received, and would facilitate its incorporation in a comprehensive database; | Resolution 4.1Retain |
| *~~Acknowledging~~* ~~the comprehensive work done to date by the Secretariat and the World Conservation Monitoring Centre in the preparation of the Information Management Plan (document UNEP/CMS/Conf 6.7 and Addendum);~~ | Resolution 6.5Repeal; out of date |
| *Acknowledging* the need to ensure consistency and best practice in the compilation of national reports, containing the best available information and scientific knowledge; | Resolution 6.5Retain |
| *Noting* the importance of ~~harmonising~~ harmonizing reporting procedures across all biodiversity instruments, especially the Agreements and M~~m~~emoranda~~um~~ of U~~u~~nderstanding related to the Convention, thereby increasing collaboration between convention secretariats; | Resolution 6.5Retain |
| *Underlining* that reporting should be kept concise and focused, avoid duplication and be outcome orientated so that there is more time for the implementation of conservation measures; ~~and~~ | Resolution 9.4Retain |
| *Noting* the progress made by the CMS Secretariat since COP8 in amending the national reporting format; | Resolution 9.4Retain |
| *Welcoming* the development of ~~the specifications for~~ online reporting, which ~~would~~ should make significant advances both in the reporting process and harmonization of reports within the CMS Family; | Resolution 9.4Retain with updates |
| *Recognizing* the need for an evaluation of implementation based, in part, on a synthesis of all previous national reports; and | Resolution 6.5Retain |
| *Recognizing* the opportunity to use national reports and the associated synthesis to promote the objectives of the Convention and its implementation at the local and national level, and to national and international governmental and non-governmental organizations ~~organisations~~; | Resolution 6.5Retain |
|  |  |
| *The Conference of the Parties to the Convention on the Conservation of Migratory Species of Wild Animals* |
| ~~1.~~ *~~Urges~~* ~~all Parties to submit to the Secretariat comprehensive national reports on their implementation of the Convention following the agreed formats annexed to this resolution;~~ | Resolution 4.1Repeal. Paragraph 1 and the accompanying annex establish a format for national reports. They have been superseded by the new online reporting system. |
| ~~1.~~ *~~Urges~~* ~~all Contracting Parties that have not yet done so to submit at the earliest date, but no later than 31 December 2008, national reports for the triennium 2005-2008;~~ | Resolution 9.4Repeal; out of date |
| ~~3.~~ 1. *Urges* all Contracting Parties, in accordance with the provisions of Article VI of the Convention, to submit national reports ~~for the triennium 2009-2011~~ to the Secretariat at least six months before each ordinary ~~the Tenth~~ Meeting of the Conference of the Parties; | Resolution 9.4Repeal as out of date, but modify to make an ongoing obligation |
| ~~3.~~ 2. *Requests* the Secretariat to send a reminder to Parties well in advance of the deadline for submission of reports, which is six months before the meeting of the Conference of the Parties and to issue reminders if the reports are not received by the said date; ~~and~~ | Resolution 4.1Retain with text added from paragraph 4 of Resolution 9.4. |
| ~~5.~~ 3. *Calls* upon the Parties to nominate focal points for correspondence, including national reporting, and to nominate contacts in other national authorities, as appropriate, for other issues; and | Resolution 6.5Retain |
| ~~2.~~ 4. *Encourages* national focal points and their Scientific Councillor counterparts to liaise on the preparation of national reports before they are submitted to the Secretariat through official channels; | Resolution 4.1Retain |
| ~~4.~~5. *Directs* the Secretariat to compile the information received from Parties in a database, to be updated intersessionally with any new information that may be made available by Parties~~.~~; | Resolution 4.1Retain |
| ~~1.~~ *~~Recommends~~* ~~that the format of national reports should be revised to include a standard minimum requirement of information such as a "tick box" proforma. Taking into account the Strategic Plan, it should cover national status of species listed under the Convention, legal and legislative structures, management activities, emerging issues, links with other conventions and any theme which the Conference of the Parties might ask Contracting Parties to report on;~~ | Resolution 6.5Repeal. Paragraph 1 has been superseded by the new online reporting system. |
| ~~2.~~ *~~Instructs~~* ~~the Secretariat to develop, in conjunction with the Scientific Council and Standing Committee, and circulate a revised reporting format to Contracting Parties to enable Parties to use the new format voluntarily for reporting to the seventh meeting of the Conference of the Parties;~~ | Resolution 6.5, Repeal. Paragraph 2 asks the Secretariat to perform a duty by COP7. |
| ~~3.~~ 6. *Urges* the Secretariat to identify, in cooperation with Parties, possible obstacles and/or constraints in preparing national reports; | Resolution 6.5Retain |
| ~~4.~~7. *Recommends* that the Secretariat develop mechanisms to support countries in submitting their national reports, including assistance for developing countries to help gather the required information; | Resolution 6.5Retain |
| ~~6.~~ *~~Decides~~* ~~that, to implement the Information Management Plan, the revised priority actions outlined in the Annex be carried out.~~ | Resolution 6.5Repeal. Paragraph 6 has been superseded by subsequent resolutions. |
| ~~2.~~ 8. *Instructs* the Secretariat to perform an analysis of the reports received ~~by 31 December 2008~~ and to make the results available to the Parties, consistent with the rules for submission of documents to meetings of the Conference of the Parties ~~the members of the Standing Committee meeting and the Scientific Council by the end of April 2009~~, so that the findings can help inform their activities and decision-making; | Resolution 9.4Repeal; out of date. However, the text could be revised to make an ongoing obligation. |
| ~~4.~~ *~~Requests~~* ~~the Secretariat to advise Contracting Parties of the date for submission of their national reports for the Tenth meeting of the Conference of the Parties and to issue reminders if the reports are not received by the said date;~~ | Resolution 9.4Repeal; out of date |
| ~~5.~~9. *Further requests* the Secretariat to advance harmonization of reporting with other international biodiversity agreements through the development of common reporting modules, via the framework of the Biodiversity Liaison Group and in consultation with UNEP-WCMC; | Resolution 9.4Retain |
| ~~6.~~ *~~Requests~~* ~~the Secretariats and Parties of CMS Agreements to collaborate in the implementation and harmonization of online reporting implementation;~~ | Resolution 9.4Repeal; online reporting has been established  |
| ~~9.~~ 10. *Requests* the CMS Secretariat to continue to liaise with the CBD Secretariat and the other biodiversity-related conventions and relevant institutions with a view to adopting suitable indicators to measure the achievement of ~~the~~ relevant Aichi targets; ~~and~~ | Resolution 9.4Retain with updated reference |
| ~~7.~~11. *Also requests* UNEP to continue to seek opportunities to support the Convention through the Knowledge Management and related projects; and | Resolution 9.4Retain |
| ~~8.~~ *~~Requests,~~* ~~for the triennium 2009-2011, the Secretariat to provide to the Parties, the format of the report, oriented to the collection of the results obtained, at least 18 months before COP10;~~  | Resolution 9.4Repeal; out of date |
| 12. *Repeals* 1. Resolution 4.1, *Party Reports*;
2. Resolution 6.5, *Information Management Plan and National Reporting*;
3. Resolution 9.4, *The Future of National Reports*.
 | New text to reflect consolidation |
| **~~Annex~~***~~A. Format A~~*~~OUTLINE FOR INITIAL COMPREHENSIVE REPORT BY PARTIES ON ACCESSION TO THE CONVENTION~~~~I. General information, including:~~* ~~-  Name of Party~~
* ~~-  Date of the report~~
* ~~-  Period covered by the report~~
* ~~-  Date of entry into force of the Convention for the Party~~
* ~~-  Territory to which the Convention applies, including dependent territories~~
* ~~-  Reservations:~~

~~-  Under Article XIV: in respect of species already listed in the Appendices~~ ~~-  Under Article XI: with regard to amendment of the Appendices~~ * ~~-  Appointment to the Scientific Council: name; address; and telephone, telefax and telex numbers~~
* ~~-  Designated focal point: name; address; and telephone, telefax and telex numbers~~
* ~~-  Membership of the Standing Committee (if appropriate)~~
* ~~II.  Implementation of the Convention~~
	1. ~~Legislation[[1]](#footnote-1)through which the Convention is implemented, including:~~
		+ ~~-  Sources of law~~
		+ ~~-  Competent authorities~~
	2. ~~Species listed in Appendix I:~~
		+ ~~(a) Species for which the Party, including its dependent territories, is a Range State and information on flag vessels which are engaged outside national boundaries in taking these migratory species;~~
		+ ~~(b)  Population size and trends for species; if appropriate, relevant data on previous and present level;~~
		+ ~~(c)  Measures taken in accordance with Article III(4), including conservation/restoration of habitats, amelioration of impediments to migration and factors endangering species;~~
		+ ~~(d) Measures taken in accordance with Article III(5), taking of animals, including:~~
* ~~-  Prohibition of taking (national legislation)[[2]](#footnote-2)~~
* ~~-  Exceptions (grounds for exceptions, period of exceptions, legislation and statistics).~~
	1. ~~Species listed in Appendix II:~~
		+ ~~(a)  AGREEMENTS/agreements to which the State is a Party or Signatory in accordance with Articles IV(3) and IV(4); including date of signature, ratification, etc;~~
		+ ~~(b) Progress made by the Party in efforts to develop and conclude new AGREEMENTS/agreements;~~
		+ ~~(c) Additional measures taken to conserve migratory species listed in Appendix II (within or outside the framework of CMS AGREEMENTS/agreements).~~
	2. ~~Any further action taken by the Party as a result of resolutions adopted by the Conference of the Parties.~~

1. ~~List of national activities relating to species listed in Appendices I and II and to other migratory species (Article II(3a)):~~
	* + ~~(a)  Surveys;~~
		+ ~~(b)  Monitoring;~~
		+ ~~(c)  Research.~~
2. ~~Any other comments.~~

*~~B. Format B~~*~~OUTLINE FOR UPDATING REPORTS BY PARTIES TO EACH MEETING OF THE CONFERENCE OF THE PARTIES~~1. ~~General information~~

~~-  Name of Party~~ ~~-  Date of the report~~ ~~-  Changes regarding:~~ ~~-  Inclusion/exclusion of dependent territories;~~ ~~-  Reservations;~~ ~~-  Appointment to the Scientific Council;~~ ~~-  Designated focal point;~~ ~~-  Membership of the Standing Committee, if appropriate.~~1. ~~Measures taken to implement decisions of the previous meeting of the Conference of the Parties~~
	1. ~~Concerning species added to Appendix I:~~

~~(a) Species for which the Party, including its dependent territories, is a Range State and information on flag vessels which are engaged outside national boundaries in taking these migratory species;~~ ~~(b) Population size and trends for species; if appropriate, relevant data on previous and present level;~~ ~~(c)  Measures taken in accordance with Article III(4), including conservation/restoration of habitats, amelioration of impediments to migration and factors endangering the species;~~ ~~(d)  Measures taken in accordance with Article III (5), taking of animals, including:~~ * + - * ~~-  Prohibition of taking (legislation);~~
			* ~~-  Exceptions (grounds for exceptions, period of exceptions, legislation, statistics).~~
	1. ~~Concerning species added to Appendix II:~~

~~Steps taken to develop and conclude AGREEMENTS under Article IV (3) and agreements under Article IV (4).~~ * 1. ~~Actions taken to implement other resolutions of the Conference of the Parties.~~
1. ~~Other~~

~~1. Changes regarding national legislation and competent authorities.~~ ~~2. Concerning species listed in Appendix I\*:~~ ~~(a)  Changes regarding status as "Range State";~~ ~~(b)  Measures which have been taken in accordance with Article III (4) since the last report;~~ ~~(c)  Exceptions made with respect to Article III (5) since the last report.~~ ~~3. Concerning species listed in Appendix II\*:~~ ~~(a)  Membership in AGREEMENTS/agreements: Articles IV (3) and IV(4);~~ ~~(b)  Progress in developing and concluding new draft AGREEMENTS/agreements;~~ ~~(c)  Update of additional measures to conserve migratory species listed in Appendix II.~~ ~~4. Any further new action taken by the Party as a result of resolutions of the Conference of the Parties.~~ 1. ~~Updated list of national activities relating to species listed in Appendices I and II and to other migratory species (Article II(3a)):~~

~~(a)  Surveys,~~ ~~(b)  Monitoring,~~ ~~(c)  Research.~~ 1. ~~Any other comments.~~

~~\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_~~~~\* Note by the Secretariat: These sections request the provision of new or updated information concerning species already listed in the Appendices at the time the previous report was prepared.~~ | Resolution 4.1Repeal; superseded by new online reporting guidelines |
| **~~Annex~~****~~Implementation of the CMS Information Management Plan~~****[**Secretariat’s Note: This tableincludes an Information Management Plan for the 1999-2002 triennium. Because of its length, it has not been reproduced here. It can be found by clicking on [this link](http://www.cms.int/en/document/information-management-plan-and-national-reporting).] | Resolution 6.5Repeal; superseded by subsequent information management plans |

**ANNEX 2**

DRAFT RESOLUTION

**NATIONAL REPORTS**

*Recalling* Resolutions 4.1, 6.5, and 9.4;

*Pursuant* to Article VI (3) of the Convention which obliges Parties to submit national reports on the measures they are taking to implement the provisions of the Convention;

*Emphasizing* the important role of national reports as vital indicators of the implementation of the Convention;

*Noting* the importance for such reports to be submitted at least six months before any given meeting of the Conference of the Parties, to allow the Secretariat to prepare a meaningful synthesis;

*Reminding* Parties of the necessity of timely submission of their reports before the meetings of the Conference of the Parties to enable a thorough synthesis to be prepared;

*Aware* of the difficulties experienced by some Governments in the compilation and development of national reports;

*Aware* that many Parties to the Convention have not submitted national reports for each reporting cycle or have not submitted information in sufficient detail;

*Also noting* that 58 reports had been submitted to the Eighth Meeting of the Conference of the Parties;

*Further noting* that 60 reports were submitted to the Ninth Meeting of the Conference of the Parties out of 102 that were due, as detailed in Conference Document 9.10; of the current total of 110 Parties, 8 were not yet required to submit reports;

*Further noting* that 68 out of 113 eligible Parties submitted national reports prior to the 10th Meeting of the Conference of the Parties and59 out of 118 eligible Parties submitted national reports using the standard online reporting prior to the 11th Meeting of the Conference of the Parties;

*Recognizing* that a standard format for national reports would provide a useful structure for organizing the information received, and would facilitate its incorporation in a comprehensive database;

*Acknowledging* the need to ensure consistency and best practice in the compilation of national reports, containing the best available information and scientific knowledge;

*Noting* the importance of harmonizing reporting procedures across all biodiversity instruments, especially the Agreements and Memoranda of Understanding related to the Convention, thereby increasing collaboration between convention secretariats;

*Underlining* that reporting should be kept concise and focused, avoid duplication and be outcome orientated so that there is more time for the implementation of conservation measures;

*Noting* the progress made by the CMS Secretariat since COP8 in amending the national reporting format;

*Welcoming* the development of online reporting, which should make significant advances both in the reporting process and harmonization of reports within the CMS Family;

*Recognizing* the need for an evaluation of implementation based, in part, on a synthesis of all previous national reports;

*Recognizing* the opportunity to use national reports and the associated synthesis to promote the objectives of the Convention and its implementation at the local and national level, and to national and international governmental and non-governmental organizations;

*The Conference of the Parties to the Convention on the Conservation of Migratory Species of Wild Animals*

1. *Urges* all Contracting Parties, in accordance with the provisions of Article VI of the Convention, to submit national reports to the Secretariat at least six months before each ordinary Meeting of the Conference of the Parties;
2. *Requests* the Secretariat to send a reminder to Parties well in advance of the deadline for submission of reports, which is six months before the meeting of the Conference of the Parties and to issue reminders if the reports are not received by the said date;
3. *Calls* upon the Parties to nominate focal points for correspondence, including national reporting, and to nominate contacts in other national authorities, as appropriate, for other issues;
4. *Encourages* national focal points and their Scientific Councillor counterparts to liaise on the preparation of national reports before they are submitted to the Secretariat through official channels;
5. *Directs* the Secretariat to compile the information received from Parties in a database, to be updated intersessionally with any new information that may be made available by Parties;
6. *Urges* the Secretariat to identify, in cooperation with Parties, possible obstacles and/or constraints in preparing national reports;
7. *Recommends* that the Secretariat develop mechanisms to support countries in submitting their national reports, including assistance for developing countries to help gather the required information;
8. *Instructs* the Secretariat to perform an analysis of the reports received and to make the results available to the Parties, consistent with the rules for submission of documents to meetings of the Conference of the Parties so that the findings can help inform their activities and decision-making;
9. *Further requests* the Secretariat to advance harmonization of reporting with other international biodiversity agreements through the development of common reporting modules, via the framework of the Biodiversity Liaison Group and in consulting with UNEP-WCMC;
10. *Requests* the CMS Secretariat to continue to liaise with the CBD Secretariat and the other biodiversity-related conventions and relevant institutions with a view to adopting suitable indicators to measure the achievement of relevant Aichi targets;
11. *Also requests* UNEP to continue to seek opportunities to support the Convention through the Knowledge Management and related projects; and
12. *Repeals*
13. Resolution 4.1, *Party Reports*;
14. Resolution 6.5, *Information Management Plan and National Reporting*; and
15. Resolution 9.4, *The Future of National Reports*.
1. ~~Title, number, date of adoption of the law~~ [↑](#footnote-ref-1)
2. ~~Details and description of legislation~~ [↑](#footnote-ref-2)